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# Learning Disabilities: A Contemporary Journal

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**Editors** *Teresa Allissa Citro Matthias Grünke* 

Associate Editors Marco G.P. Hessels Bruce Saddler

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# Learning Disabilities: A Contemporary Journal

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#### Mission Statement

Learning Disabilities: A Contemporary Journal (LDCJ), a refereed professional journal, is a forum for research, practice and opinion papers in the area of learning disabilities (LD) and related disorders. The mission of the journal is to provide the most up-to-date information on diagnosis and identification, assessment, interventions, policy, and other related issues on LD. The journal intends to inform and challenge researchers, practitioners, and individuals who are involved with learning disabilities.

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*Learning Disabilities: A Contemporary Journal (LDCJ)*, a refereed professional journal, is a forum for research, practice and opinion papers in the area of learning disabilities (LD) and related disorders. The following types of articles are appropriate for submission to LDCJ.

**Empirical Studies.** Research studies using experimental or non-experimental designs and descriptive works are appropriate as long as there is a relevance to learning disabilities. Studies that include samples of students at risk of learning problems and in general underachievement are also appropriate. Comparative works that include other disorders such as mental retardation and low incidence disabilities may also be considered for publication (as long as there is relevance to low achievement and/or LD). The size of the submissions must be between 15-25 typewritten, double-spaced pages (including tables, figures, references, appendices and/ or other supplements). References must be used judiciously. Figures and pictures must be camera-ready.

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#### **PUBLISHER** Continued

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## Special Issue: Research Perspectives on Multi-tiered System of Support

**Cheryl A. Utley** University of Illinois-Chicago (USA)

# Festus E. Obiakor

Valdosta State University (USA)

#### INTRODUCTION TO THE SPECIAL ISSUE

Evidence-based programs and interventions targeting special education programs fall within a multi-tiered system of support (MTSS) which consists of increased instructional time, interventions, and improved educational outcomes for students in general and special education. The NASP Position Statement, "Appropriate Behavioral, Social, and Emotional Supports to Meet the Needs of All Students" (NASP, 2009) recommends the MTSS comprehensive framework to address the academic and social, emotional, and behavioral development of children and youth. The MTTS framework consists of principles of response to intervention (RtI) and positive behavioral interventions and supports (PBIS) and integrates a continuum of system-wide resources, strategies, structures, and evidence-based practices for addressing barriers to student learning and discipline. Successful implementation of MTSS requires schools to implement a continuum of systematic, coordinated, evidence-based practices targeted to being responsive to the varying intensity of needs students have related to their academic and social emotional/behavioral development (Harn, Chard, Biancarosa, & Kame`enui, 2011; Horner, Sugai, & Anderson, 2010).

The first article by Harn, Basaraba, Chard, and Fritz presents information and data on a longitudinal study designed to accelerate first graders at-risk for reading difficulties until the end of third grade. Interventions and student outcomes, including data on students' progress across each year and information on how many continued to need instructional supports across time were described. The study concludes with a discussion on students continuing to demonstrate significant reading difficulties even after this level of intensive support. Lessons learned and reflections were provided on how these efforts may have been improved through more coordinated academic and behavioral supports.

The second article by Weisenburgh-Snyder, Malmquist, Robbins, and Lipshin is a case study detailing the rapid progress of a class of students during using Precision Teaching (PT), a frequency building instructional intervention, which consists of a multi-level assessment system, combined with evidence-based practices of teaching and learning within a RtI framework. The implementation of PT results in the systematic acceleration of student progress in mathematics. In addition, this study showed that PT contributed to MTSS by creating a common language between and amongst students, teachers, families, and administrators. In this unique blended system, the data collected by administrators, teachers, and students were continuously assessed and used to inform instruction and teacher training needs.

Within a PBIS conceptual framework, the third article by Utley and Obiakor examined a targeted intervention, the *Cool Tool*, at the secondary prevention level to address problem behaviors of elementary students in an urban school. Todd, Campbell, Meyer, & Horner (2008) noted that targeted interventions are designed to "provide efficient behavior support for students at risk of more intense problem behavior" (pp. 46-47). Key elements in the targeted intervention included organizational systems, intervention practices, and data use. The *Cool Tool*, a social skills intervention, focused on (a) teaching students appropriate social skills, (b) when to use social skills, and (c) routines for using the targeted intervention. Multiple assessments included pre-posttest classroom observations to measure teacher praise vs. reprimand and students' on versus off task behaviors.

The fourth article by Freeman, Miller, and Newcomer incorporated both RtI and School-wide Positive Behavior Support (SWPBS) in the MTSS and describes the integration of several tiered implementation models into one coherent, combined system designed to address literacy and social competence (Lane, Menzies, Ennis, & Bezdek, 2013; McIntosh & Goodman, in press). These authors discuss the role of school district leadership as an essential component for successful MTSS implementation. District leadership in MTSS provides schools with political and administrative support, training and technical assistance, layered in-service curricula, databased decision making systems for ongoing evaluation, and access to interagency relationships for supporting student health and wellbeing. This article addressed key district mechanisms that are used to integrate academic and behavioral interventions as school personnel learn new strategies for improving outcomes for students.

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#### NOTE FROM THE JOURNAL EDITORS

This edition also includes a paper by Kat D. Alves, Michael J. Kennedy, Tiara S. Brown, and Michael Solis on story grammar instruction with third and fifth grade students and one by Sara E. Witmer, Elizabeth Cook, Heather Schmitt, and Marianne Clinton on the read-aloud accommodation during instruction, which are not part of the special issue guest-edited by Cheryl A. Utley and Festus E. Obiakor.

# The Impact of Schoolwide Prevention Efforts: Lessons Learned from Implementing Independent Academic and Behavior Support Systems

#### **Beth Harn**

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#### **Ronda Fritz**

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Great progress has been made in learning how to provide more responsive instructional and behavioral supports to students through efforts in Response to Intervention and Positive Behavior and Intervention Supports. This article presents information and data on a longitudinal study designed to accelerate first graders at-risk for reading difficulties until the end of third grade. Interventions are described along with outcomes following students across this time including data on students' progress across each year and information on how many continued to need instructional supports. The paper finishes with a discussion on students continuing to demonstrate significant reading difficulties even after this level of intensive support. Lessons learned and reflections are provided on how these efforts may have been improved through more coordinated academic and behavioral supports with implications for implementing Multi-tiered Systems of Support (MTSS).

Keywords: Response to Intervention, Positive Behavior and Intervention Supports, Reading Difficulties, Longitudinal Study.

#### INTRODUCTION

Successful implementation of Multi-tiered System of Supports (MTSS) requires schools to implement a continuum of systematic, coordinated, evidencebased practices targeted to being responsive to the varying intensity of needs students have related to their academic and social emotional/behavioral development (Harn, Chard, Biancarosa, & Kame`enui, 2011; Horner, Sugai, & Anderson, 2010). This inherently preventive approach is built upon the understanding that we can do more to prevent students from developing intractable academic and behavioral difficulties while students are in early elementary grades than attempting remediation efforts later in schooling (Bradley, Danielson, & Doolittle, 2005; Torgeson, 2000). While MTSS was initially developed and implemented in relation to Response to Intervention (RTI) and focused on improving reading outcomes, Positive Behavioral and Intervention Supports (PBIS) uses similar features and components to promote social development and prevent the development of significant challenging behavior

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with great success (Bradshaw, Mitch, & Leaf, 2010; Horner, Sugai, & Anderson, 2010; Simonsen & Sugai, 2013). While there is a call for the integration of academic and behavioral MTSS due to the known interaction of academic and behavioral issues in many students who struggle (McIntosh, Horner, Chard, Boland, & Good, 2006), in general most schools are operating these schoolwide efforts independently (McIntosh, Goodman, & Bohanan, 2010).

The common features to implementing RTI and PBIS include the following: (a) coordination of schoolwide prevention efforts and systems, (b) universal screening and progress monitoring, (c) selection and use of evidence-based practices, (d) professional development that targets evidence-based practice, (e) evaluating outcomes using data-based decision making, and (f) leadership commitment from administrators and school-based teams that supports school-wide implementation (Kame'enui, Good, & Harn, 2005; Sugai & Horner, 2006). See Figure 1 for a depiction of how these elements work together to support a responsive support system. Coordinating schoolwide prevention efforts means that schools regularly teach and reinforce the behavioral expectations for appropriate social and learning behavior as well as teach the essential skills in literacy development. Both PBIS and RTI collect data regularly to identify students early on that are at risk for later challenges. For RTI, formative evaluation measures such as the Dynamic Indicators of Basic Early Literacy Skills (Good & Kaminski, 2006) or AIMSWeb (Shinn, 2008) have developed technically adequate measures to screen all students quarterly as well as progress monitoring tools for monitoring students learning in response to intervention efforts. For PBIS, the most commonly used measure is office discipline referrals (ODRs) to identify students who are displaying inappropriate behaviors at an alarming rate (Sugai & Horner, 2006). Both approaches advocate schools implement practices that have established research demonstrating their efficacy, which requires that schools ensure that adequate professional development is provided to all staff to deliver these practices as intended to maximize student outcomes. To ensure that these coordinated efforts continue to meet the needs of all students, both approaches also heavily emphasize evaluating outcomes using data-based decision making procedures within a given school year, as well as to annually review to plan and prioritize efforts to ensure continuous improvement. Both approaches also require significant commitment from leaders, teachers, and specialists to implement the schoolwide approach, coordinate efforts, and maximize resources. However, within this feature, there has historically been a difference in terms of how the school-based teams are constructed and implemented. Most likely because of the differences in specialist skill sets and availability, specifically behavioral and reading specialists, schools have frequently set up separate teams to support implementation of PBIS and RTI (Chard, Harn, Horner & Sugai, 2008; McIntosh, Goodman, & Bohanan, 2010).

The purpose of this paper is to discuss the impact of a longitudinal project implementing MTSS for reading in two Districts that had established PBIS efforts. The academic intervention efforts across grades 1-3 will be discussed and the impact it had on a group of students identified as at-risk for reading difficulties in first grade will be shared. An emphasis will be placed on students who continued to need intensive intervention efforts in third grade with implications of how potentially integrating PBIS technology (i.e., functional behavior assessments, behavior support plans)

within the planning and implementation of the academic interventions may have better met the needs of students. First, we provide a brief review of variables with which students who have reading difficulties typically struggle to set the stage for implementing the MTSS for reading development and the longitudinal research project.





Note Adapted from Walker, H. M., Horner, R. H., Sugai, G., Bullis, M., Sprague, J. R., Bricker, D., & Kaufman, M. J. (1996). Integrated approaches to preventing antisocial behavior patterns among school-age children and youth-*Journal of Emotional and Behavioral Disorders*, 4, 194 - 209.

#### Traditional Student-Level Variables Predicting Limited Reading Outcomes

Research over the past three decades has come to consensus that the skill area most struggling readers have difficulties in is what has been called the phonological core. While some students experience reading difficulties related to more general language deficits (e.g., semantic, syntactic), the vast majority of these difficulties can be traced to phonological skill problems (Stanovich, 1986; Torgesen, 2000; Vellutino, Fletcher, Snowling, & Scanlon, 2004). Students with this phonological core deficit are characterized by difficulties in phonological awareness and verbal short-term memory as well as below-average speed of access to phonological information in long-term memory, which negatively impacts accurate word-level decoding (Adams, 1990; Lipka, Lesaux, & Siegel, 2006). Difficulties with decoding have far-reaching implications as they limit students' opportunities to read in increasingly complex texts, decrease students' exposure to words, limit vocabulary development, and negatively impact reading comprehension. Because of the robust nature of the phonological core deficit in struggling readers and the pervasive effect it can have on long term reading achievement, most early reading interventions have focused almost exclusively on improving students' phonological awareness, early decoding skills/word analysis, sight word identification, and fluency development. A good deal of research has demonstrated the benefits of this content focus to prevent the development of long term reading difficulties (McMaster, Fuchs, Fuchs, & Compton, 2005; Simmons et al., 2007; Simmons et al., 2008; Vellutino et al., 1996).

Beyond these traditional early reading skills often implicated in reading failure, there are other variables also predictive of risk. Research reviews of intervention studies revealed the following to also be predictors of later reading difficulty: (a) student demographics (e.g., race, socioeconomic status, home language), (b) vocabulary or verbal ability, (c) attention or behavior problems, (d) rapid automatized naming (RAN)/executive functioning, and (e) orthographic awareness (Al-Otaiba & Fuchs, 2002; Nelson, Benner, & Gonzales, 2003). Some of these predictors and their contribution to reading difficulties are more thoroughly understood than others. One of the more closely studied areas is the link between students who have comorbid academics and attention/behavior difficulties (Dally, 2006; McKinney, 1989). One possible connection between reading and behavioral difficulties may be attentional problems (Fleming, Harachi, Cortes, Abbott, & Catalano, 2004; Posner & Petersen, 1990; Smith, Borkowski, & Whitman, 2008). These attentional problems may simultaneously interfere with learning and lead to problem behavior (Blair & Diamond, 2008; Fleming et al., 2004; McIntosh, Horner, Chard, Boland, & Good, 2006; Morrison, Anthony, Storino, & Dillon, 2001). However, the mechanism for other predictors, like rapid automatized naming/executive functions, are less well understood in their role on reading development (Fuchs et al., 2012; Savage & Frederickson, 2006). It should be noted that very few predictors have been examined within the same study or in the same intervention context making it difficult to determine the directionality of effect (i.e., reading difficulties cause later deficits or initial deficits cause later reading difficulties; Al-Otaiba & Fuchs, 2006; Torgesen & Davis, 1996; Torgesen et al., 1999; Vellutino et al., 1996). While there is much research showing the predictiveness of these student characteristics, the utilization of MTSS is designed to ruin these predictions by creating a school and instructional context that intensifies efforts in response to the magnitude of student needs (Harn, Chard, Biancarosa, & Kameenui, 2011).

#### Features of Schools Implementing MTSS

While there are a number of variations within the MTSS approach, this paper will discuss the Schoolwide Reading Model (SWRM) (Baker et al., 2011; Coyne, Kame'enui, Simmons, & Harn, 2004). Schools implementing the SWRM have demonstrated that this systems-level prevention approach is significantly and positively related to reading outcomes (Baker et al., 2011; Chard et al., 2008; Sanford, Park, & Baker, 2013). Broadly speaking, the SWRM has three foundational features: (a) establishing *systems of supports* to meet the needs of groups and individual students, (b) implementing a *prevention-oriented* approach designed to implement responsive and intentional intervention efforts to accelerate learning, and (c) enacting the practice of data-based decision making (Coyne, Kame'enui, Simmons, & Harn, 2004; Fien,

Kame'enui, & Good, 2009). More specifically, the SWRM includes the following seven essential components (Baker et al., 2011):

- 1. Adoption of schoolwide priorities and implementation of practice that focus on the essential reading skills;
- 2. Systematic collection of reliable and valid assessment data to inform instructional practices;
- 3. Establishment of a schoolwide schedule that allocates and protects sufficient time for reading instruction;
- 4. Emphasis for all staff on high-quality implementation of evidencebased instructional programs;
- 5. Provision of differentiated, multi-tiered instruction designed to meet the needs of all students;
- 6. Use of data-based decision making at the student *and* school level to evaluate the quality of implementation; and
- 7. Provision of high quality professional development to support schools' focus on continuous improvement.

This approach was used in implementing Project CIRCUITS: Center for Improving Reading Competence Using Intensive Treatments Schoolwide, a longitudinal study funded by the Office of Special Education Programs (Chard & Harn, 2008). We partnered with two school Districts (see description below) that had already fully implemented PBIS for more than three years to implement the SWRM. With the behavioral systems established, the focus of this project's efforts was to (a) describe features of instruction in classrooms implementing evidenced-based programs, and (b) develop and evaluate procedures and practices to implement a systemic, preventive approach to reading instruction that would address the reading development of all k-3 students. A cohort of students (N=84) was identified as at-risk for reading difficulties in first grade and their progress was followed until the end of third grade to evaluate how students responded to the implemented interventions (described later) and examine the effectiveness of the SWRM in decreasing the number of students needing intensive academic supports. Here we provide a synopsis of these efforts including research findings, lessons learned, and a reflection on the missed opportunity of overtly integrating the established PBIS efforts with initial implementation of an academic MTSS. Additional detail and results are discussed in other papers (Chard & Harn, 2008; Chard, Stoolmiller, et al., 2008; Harn, Chard, Biancarosa, & Kameenui, 2011).

#### Context of this Synopsis

Both districts participating in the project were in the Pacific Northwest and considered fast-growing suburban districts. District A was a smaller school district and is in a suburb of a medium-sized city. The students in the two elementary schools participating in District A were predominantly Caucasian (57%) or Hispanic (43%), and English language learners (ELL) (28%) Participating schools served students grades K–5 and averaged about 440 students per school. District B was in a suburb of a larger city, served grades K–5, and averaged about 475 students per school. The students in the three elementary schools participating in District B were predominantly Caucasian (73%), Hispanic (17%), African American (5%), Native Hawaiian (5%), and 12% were ELL. As part of district procedures, all students were screened using the

Dynamic Indicators of Basic Early Literacy Skills (DIBELS; Good & Kaminski, 2003; see descriptions below), and any students identified as at-risk based on the measures' established criteria were invited to participate in the study. With these procedures, 84 (District A=24; District B=60), or 20% of the school's total population, was identified for the intervention cohort (interventions are discussed in the next section), followed until they completed grade 3, and are the focus of this paper.

#### MEASURES

**DIBELS.** Both districts gathered screening data on students as part of their typical practice using the DIBELS. These measures are standardized, individually administered, 1-minute measures designed to efficiently measure critical early literacy skills, including phonological awareness (PSF), letter knowledge (LNF), alphabetic principle (NWF), and fluency with connected text (ORF; Good & Kaminski, 2003). Specific information about the reliability and validity of each measure is provided as reported from the technical manual (Good & Kaminski, 2003).

The Phoneme Segmentation Fluency (PSF) measure is designed to assess a student's ability to segment words into their individual sounds. The examiner orally presents one word at a time, and the student segments the word into its individual sounds. The total score is the number of correct segments produced in one minute. The Letter Naming Fluency (LNF) measure is designed to assess a student's ability to readily name letters. The student is presented with a sheet of mixed upper- and lowercase letters, and the score is the number of correct letter names produced in one minute. The Nonsense Word Fluency (NWF) measure is designed to assess a student's ability to produce correct letter-sound correspondences or phonologically recode nonwords. The measure is comprised of consonant-vowel-consonant (CVC) and vowel-consonant (VC) nonwords words (e.g., rav, ep) arranged in rows. The total score is the number of correct letter-sound correspondences produced in one minute. The Oral Reading Fluency (ORF) measure is designed to assess a student's ability to accurately and fluently read connected text. The student is presented with a gradelevel passage and asked to read the passage aloud; the final score is the number of correctly-read words in 1 minute.

**Woodcock Reading Mastery Test–Revised.** The Woodcock Reading Mastery Test–Revised (WRMT-R) (Woodcock, 1987) is a standardized, un-timed, individually administered test. The Word Identification, Word Attack, and Passage Comprehension subtests were administered to all students in the fall and spring of each year. Test-retest reliabilities and validity coefficients are within acceptable ranges across subtests for grade one students (Woodcock, 1987). The Word Identification (WID) subtest requires the student to read words from a list that increases with difficulty. The Word Attack (WAT) subtest has students read a list of nonwords to assess phonetic analysis skills. The Passage Comprehension (PComp) subtest provides the student with a sentence or brief passage with one word missing and requires the student to provide the best word for the passage.

#### **GENERAL FINDINGS**

#### Year 1: Documenting Nature of Instructional Supports

The Districts had many features in common (commitment to prevention, use of evidenced-based reading programs, etc.), so our focus in year one of the Project (none-intervention year) documented the nature of the established reading approach (i.e., what they were independently doing prior to the Project intervention). Both Districts had adopted the same core reading program, Open Court Reading (Adams et al., 2000), for Tier 1 and each had a Tier 2 system of support that varied between schools. In year one, we observed the at-risk students in both Tiers 1 and 2 using a standardized coding system. While the districts mandated 90 minutes of daily reading instruction, schools averaged just over 70 minutes with up to 30% of this time directed toward content other than reading (more information on the specific content is detailed in Chard & Harn, 2008). General education teachers reported creating and delivering their own materials and there was very little differentiation provided to any of the students. All students identified as at risk did receive supplemental instruction; however, it was not differentiated by need and there was no Tier 3 support during this initial year.

The degree of variation in reading instruction within a given school as well as across tiers of instructional support was surprising. Some students received as many as five different programs on a regular basis (e.g., some programs used five times a week, some two times, others one). Additionally, observers noted that the programs used within and across instructional support settings (Tiers 1 and 2) varied significantly their instructional approach (explicit/systematic as well as whole word). Additionally, students identified as at-risk did not receive the full "core" reading program in addition to their reading intervention. These findings led us to work with the Districts to examine the effect of creating greater consistency in literacy instruction as well as coordinating instructional support across tiers of the MTSS.

#### Year 2: First Grade-Coordinating Instructional Supports to Accelerate Learning

Rather than drastically altering Tier 1 instruction, we collaborated with district leadership to determine ways to enhance the MTSS by coordinating instruction across tiers and ensuring at-risk students received systematic reading instruction. To improve Tier 1 support, principals recommitted to ensuring that 90 minutes of instruction would be allocated and delivered daily, and that teachers would use the core reading program. Both districts provided additional training to teachers on using the core program.

**Tier 2 intervention.** Students needing Tier 2 supports (N=50) received 30 minutes of intervention in addition to the 90 minutes of language arts instruction provided in Tier 1 in groups of 4–5 students by trained, school-based personnel. Project personnel developed the "Booster" program to closely align with Tier 1 instruction by focusing on re-teaching the same content from the core program but provided students additional practice in sight word reading, word analysis, connected text reading, and comprehension skills in a more systematic manner. The intervention was intensified by increasing instructional time, prioritizing essential content, decreasing group size, and using explicit and systematic delivery practices (Archer &

Hughes, 2011; Denton & Vaughn, 2010; Harn et al., 2008). The following instructional delivery aspects were emphasized: (<u>a</u>) explicit and consistent teacher wording, (<u>b</u>) a focus on critical skills from the core reading program, (<u>c</u>) immediate feedback on student performance, (<u>d</u>) systematic review of target skills, and (<u>e</u>) activities that actively engaged the student in reading (i.e., many opportunities to respond as a group and individually with feedback). These features were embedded within each lesson of the intervention using content from the core program.

**Tier 3 intervention.** Students identified as needing Tier 3 supports (i.e., student with deficient skills on both PSF and NWF; N=34) received 60 minutes of intervention, in addition to most of the typical language arts instruction provided in Tier 1, in groups of 3–4 students by trained, school-based personnel. The scheduling challenges for delivering the 60-minute intervention caused variation in the total reading time students received, but, in general, students received 50 minutes of instruction in Tier 1 and the additional 60 minutes of Tier 3 intervention for a total of at least 110 minutes of daily reading instruction. The Proactive Beginning Reading program (PBR Mathes, Torgesen, Menchetti, Wahl, & Grek, 1999) was selected because the authors had designed the program to align with the schools' core program. This intervention targeted the early literacy skills of phonological awareness, letter-sound correspondence, word analysis, fluency, and comprehension strategies. PBR typically takes 45 minutes to deliver, but we allocated 60 minutes so that additional opportunities to practice and review were provided daily.

Results from aligning interventions across tiers in terms of features of effective intervention (i.e., time, content, instructional delivery, and group size) and coordination and collaboration of personnel for at-risk students was statistically and practically significant across a range of literacy measures. After aligning supports across tiers, at-risk students performed significantly better than similar students in the prior year (i.e., historical control) on measures of word reading, fluency and passage comprehension, with effect sizes in the small to medium range. In addition, on PSF and PCOMP the lowest-performing students that received the aligned interventions benefitted significantly more than similar students in the prior year. These interaction effects imply that coordinated instruction differentially benefitted the most at-risk students (e.g., students receiving Tier 3; see Harn, Chard, Biancarosa, & Kameenui, 2011 for more detail).

#### Year 3: Second Grade-Aligning and Intensifying Supports

**Tier 2 Intervention**. Students who continued in the longitudinal study identified as needing Tier 2 supports on the DIBELS (i.e., strategic), received 90 minutes of Tier 1 literacy instruction, plus a 45 minute intervention in groups of 5-8. In examining their reading skills, students were identified as having weaknesses in both word reading and fluency in connected text skills. These skills were addressed using an alternating schedule of *Read Naturally* and *Phonics for Reading* during a 45-minute intervention period. As part of the research project, schools implemented this instructional support plan for 14 weeks to determine the efficacy of this combination of programs. Average words per week growth was 2.75 for District A and 1.76 for District B, which is higher than the typical rate of 1.4 words per week reported by Fuchs, Fuchs, Hamlet, Walz, and German (1993). After the 14 week research period, schools evaluated each student's progress to determine the level of instructional support necessary. Some students demonstrated they no longer needed instructional support beyond Tier 1, some displayed continued need for Tier 2 support, and a few demonstrated a need for increased instructional support.

**Tier 3 Intervention.** Students identified as needing Tier 3 supports on the DIBELS (i.e., intensive) or displayed limited growth in response to additional instructional supports in tier two, instructional support was further intensified. For many of these students, the gap between the instructional objectives of the Tier 1 reading program in some areas (e.g., advanced phonic elements) and student skill level was so large that the school-level reading team and parents determined that other instructional material would be more appropriate. *Careful* decisions were made on what skills (e.g., vocabulary, listening comprehension) to teach during the time students were in the general education classroom so that this time would be beneficial to all students. Critical skills that needed to be taught with urgency (i.e., alphabetic principle, word reading, reading connected text) were thought to be best addressed by acceleration programs specifically designed using explicit instructional approaches (i.e., *Reading Mastery*). To accelerate learning, students were provided with more than 90 minutes of reading instruction each day, with the majority of it provided in small groups by Title 1 and/or Special Education personnel.

Initially, only *Reading Mastery* was used in small groups (i.e., four or less) across two-45 minute sessions each day. Instructors were trained to accelerate pace through the program to fill students' skill gaps as quickly as possible. Student progress and fidelity of implementation were monitored regularly, but student growth was disappointingly low. In considering the instructional objectives taught within the program, limited student progress, and general instructional needs of the students, we decided to increase the amount of time spent in fluency building, so we supplemented with the Read Naturally program. The 90 minutes of small group instruction was divided so that students received 60 minutes of instruction within Reading Mastery and 30 minutes of Read Naturally each day. This change in instructional focus had a dramatic effect on student performance. Prior to this alteration, the 17 students had an average ORF slope of 1.59 words a week (range 0-2.3); however, with the instructional modification students averaged 2.4 words a week (range 1.2-4.7). Six students improved so much the team moved them out of Tier 3 supports by the end of the year. While five other students (all of which were receiving special education services as learning disabled, autistic, or speech and language) continued to display significantly low reading skills (i.e., reading below 40 on Oral Reading Fluency).

#### Year 4: Third Grade-Characteristics of Students Needing Individualized Supports

For the 11 students in third grade continuing to need tier 3 intervention supports, they were provided individualized (i.e., 1-on-1) reading intervention using the *Reading Mastery* and *Read Naturally* programs similar to how it was delivered during their second grade year. Students received two, 45-minute doses of reading instruction daily that was tailored to their specific instructional needs based on procedures of the Reading Mastery program. Interventionists were monitored at least monthly to document fidelity of implementation and student progress was monitored twice a month. In general, students made progress; however, it was not sufficient to warrant decreasing intervention intensity across the year.

We did a retrospective analysis on the background of these "nonresponders" to determine similarities or differences across this small, but important group of students. Using a qualitative, multiple method, case study approach (Miles & Huberman, 1994) we categorized the data as: (a) school, (b) family, (c) intervention, or (d) student-level. School-level data consisted of teacher demographics and name of reading curriculum. Family data consisted of home language and free/reduced lunch status. Intervention data included program type, observational information, and intensity of support. Student-level data consisted of reading performance on a number of measures, language status, attendance, and ethnicity. We then organized this information across the duration of the project into (a) antecedents, (b) instructional supports, and (c) outcomes. Antecedents were variables that happened prior to providing instructional supports in first grade (i.e., initial student skills, student/ family demographics). Instructional supports were related to the nature of intervention provided (i.e., strategic, intensive). Outcomes were the student's achievement scores at the end of the Project (Jamgochian, Harn, & Parisi, 2008). A sample of the data examined across all students is presented in the case display of one of these students in Table 1.

**Similarities Across Students.** Through this examination we found two early characteristics in first grade (antecedents) that were similar across these students: (a) weaknesses on fluency-based measures and (b) teacher report of low academic competence. We do not have data for two students at the end of first grade, so these findings were based on the nine students with complete data. In the fall of first grade, eight of nine non-responders had a score below 25 on the Letter Naming Fluency (Good & Kaminski, 2003). Similarly, six of nine students had a standard score below 90 on the Sight Word Efficiency subtest of the Test of Word Reading Efficiency (Torgesen, Wagner, & Rashotte, 1999). Additionally, teachers completed a Social Skills Rating System (Gresham & Elliott, 1990) for each year of the project. At the end of first grade, seven of nine non-responders were rated low on the Academic Competence subscale. This subscale measures a teacher's perception of a student's overall classroom behavior, academic performance, intellectual ability, and parental support in comparison to classmates.

**Differences Across Students.** Through this analysis, we discovered a number of variables that *were not* similar across this small, yet important group of students. Variables related to the family (i.e., home language, free and reduced lunch) varied across the group, and only one student was ELL. Additionally, attendance wasn't a predictor as none of the students missed more than 10% in any given school year. Finally, untimed measures of reading performance (i.e., WRMT-R) were not effective predictors as most scores were within the average range.

For the students who did not respond to these intensive efforts, the typical issues of attendance, free and reduced lunch, and English language learner status *were not* variables this group had in common. In the current study, low performance on fluency-based measures as well as a teacher report of low academic competence were common across most students. Within this responsive, coordinated, and systematic reading context, the vast majority of at-risk readers no longer needed intensive support by third grade. In fact, only 7% of students across these districts still needed such support.

Anteceder		Antecedent	Instructional Support			Outcomes
Variables		Kindergarten	1 <sup>st</sup> Grade	2 <sup>nd</sup> Grade	3 <sup>rd</sup> Grade	4 <sup>th</sup> Grade
Home	Lunch Status	Regular	Regular	Regular	Regular	Regular
	Days Absent	11	7	6	6	7
School	School	HV Elem	HV Elem	HV Elem	HV Elem	HV Elem
	Special Education Status	Not Identified	Not Identified	Identified LD	Identified LD	Identified LD
	Type Support Provided	$\Omega$ supports	Intensive	Intensive	Intensive	Intensive
	Teacher Report of Social Skills (SSRS)	Average	Average	Fewer	Fewer	
Student	WRMT Percentile	68%ile	54%ile	52%ile	48%ile	45%ile
	DIBELS Performance	LNF=3 PSF=20 NWF=3	PSF=46 NWF=48 ORF=9	NWF=85 ORF=38	ORF=49	ORF=59
	TOWRE Performance		SWE= 87 PDE=99			SWE= 84 PDE=80

 Table 1. Sample Qualitative Analysis of a Typical Non-Responder Across Time

*Notes.* DIBELS-Dynamic Indicators of Basic Early Literacy Skills; LNF-Letter Naming Fluency; PSF-Phoneme Segmentation Fluency; NWF-Nonsense Word Fluency; ORF-Oral Reading Fluency; SSRS-Social Skills Rating System; TOWRE-Test of Word Reading Efficiency; SWE-Sight Word Efficiency; Phonemic Decoding Efficiency; WRMT-Woodcock Reading Mastery Test

#### CONCLUSION

The effectiveness of using MTSS for academics has repeatedly documented the impact of these practices in preventing some students from developing LD. For example, in a larger study combining these students with a similar group of students in Texas, Chard et al. (2008) found that the impact of implementing the SWRM ruined typical predictions for demographic and subgroups of students for later academic failure. In that study, when examining variables accounting for end of third grade reading performance, they found that variables such as race/ethnicity, EL status, and special education eligibility were not predictive. Within the context of schools implementing the SWRM, students' initial early literacy skill status and rate of reading growth across first grade accounted for the most variance in 3<sup>rd</sup> grade reading comprehension performance. Authors credit having the instructional elements of the SWRM in place that created a more responsive and effective *instructional context* that mitigated the effects of traditional, non-alterable, predictors on student literacy performance (Chard et al., 2008). Similar positive effects for the SWRM were found for ELLs (Baker et al., 2012) and students receiving special education services (Sanford et al., 2013). According to Denton, Foorman, and Mathes (2003), this success "points to the importance of looking beyond instructional methodology to other factors that influence the effectiveness of reading programs for high-risk students" (p. 258), including the other elements of MTSS (e.g., data-based decision making, professional development, high quality implementation, etc.; Averill & Rinaldi, 2014; Harn et al., 2011) as well as determining which components are essential.

Analyses of multi-faceted interventions like the SWRM are needed to identify the essential core components necessary to improve outcomes and see how these may vary by school site (Harn et al., 2011; Odom, 2009). Further exploration of these variables may allow us to identify the relevant features of the context (e.g., school and children) *and* the intervention (e.g., SWRM, PBIS) that may produce the most optimal outcomes for students. As discussed by Koveleski and Black (2010), MTSS, or RTI, is so multifacteted that it is difficult to determine what aspects have causal implications on student performance. Unpacking the active ingredients both individually (e.g., explicitness of instruction, time, program, group size, etc.) as well as potential interaction or collective synergistic effects (i.e., the SWRM with PBIS) is a challenge for future research. These ingredients can also play out differentially depending on the specific context/school characteristics (e.g., personnel, student demographics, size, etc.) already in place.

#### **Research to Practice Implications**

The intervention efforts implemented across the years in this study align with the recommendations of the recently released report on the features of effective intensive interventions for students with LD (Vaughn, Zumeta, Wanzek, Cook, & Klingner, 2014). We implemented the best of what the research has shown to be effective, along with truly individualizing services as expected in special education (Zigmond & Kloo, 2011). While these efforts did decrease the percent students needing to receive special education services to approximately 7% in these schools, with the national average as 13% (National Center for Education Statistics, 2013), we reflect on things that we could have done differently to further enhance these outcomes, which relate to a) truly integrating the academic and behavioral systems of support and b) broadening the focus of intervention efforts.

We did not capitalize on the Districts' established PBIS efforts in implementing the SWRM. In hindsight this was a mistake because of the similarities in implementing schoolwide MTSS approaches like the SWRM and PBIS (e.g., databased decision making, coordinating time/efforts across tiers, use of evidenced-based practices; McIntosh, Goodman, & Bohanan, 2010). But potentially the biggest mistake was not integrating the expertise of the PBIS coaches/behavior specialists in supporting the delivery of intervention efforts, especially for students receiving Tier 3 interventions. While we don't have specific data on how many students across the years of intervention were on behavior support plans (BSP), we do know that of the 11 students needing intensive intervention in third grade, all of them had been on a BSP at one point in time and five students were on one during third grade. These BSPs were developed independently using the established school-based approach within PBIS. This was a missed opportunity as the interventionists delivering the reading intervention were not consulted in the development of the BSP and then had to try to implement the BSP while simultaneously delivering the intensive reading intervention, a very challenging task. Potentially, had we worked with the behavior specialists, we could have designed the intervention differently to better meet these students' academic and behavioral needs. Needless to say, these 11 students had many behavioral and attention issues that may have led to limited reading success or been a consequence of limited progress in reading. Had we capitalized on the behavioral expertise from their initial identification the implemented behavioral or academic interventions may have been more effective. Rodriguez and Anderson (2014) demonstrated that implementing an EBP behavior management intervention within the context of delivering an intensive reading intervention did not negatively impact fidelity of the reading intervention, increased time on task, and decreased displays of problem behavior. As Denton (2012) discussed in her reflection on the effectiveness of early reading interventions in the RTI era, having this persistent small group of students not responding to our efforts means we still haven't figured out how to meet each student's needs. Broadening the scope of intervention supports to actively include the expertise of behavior support specialist along the RTI process should be a part of any school implementing MTSS.

Related to broadening our focus on intervention supports to include the support from behavior specialists is broadening the content of our interventions. Chard (2012) emphasized the need for moving beyond interventions solely focusing on the phonological core issues in reading interventions to include content/interventions targeting cognitive processing skills such as RAN and executive function. Related to both of these dimensions is the concept of self-regulation, a multi-dimensional construct that includes a student's ability to control and direct attention, cognition, emotions and behavior (Eisenberg, Valiente, & Eggum, 2010; McClelland & Cameron, 2011; Rueda, Posner, & Rothbart, 2005). Self-regulation (SR) has been an ongoing focus in preschool and school-readiness research and is critical in a classroom setting as it supports students' sustained efforts toward the teacher's instructional goals, and keeps students engaged across the lesson and school day to support the acquisition of new skills and learning (Saez, Folson, Al Otaiba, & Schatschneider, 2012; Smith et al., 2008). Rothbart and Bates (2006) define SR as "the efficiency of executive attention—including the ability to inhibit a dominant response and/or to activate a subdominant response, to plan, and to detect errors" (p. 129). These skills are particularly important for struggling students. Potentially providing additional training to general education teachers and interventionists in behavioral practices that promote the development of SR would improve the behavioral and academic outcomes of our students. Interventions like the Incredible Years (Webster-Stratton & Reid, 2004) are very much aligned with PBIS and have been found effective in promoting procsocial development and academic outcomes in the most at-risk populations. The effectiveness of integrated explicit and systematic instruction with quality classroom management practices was also demonstrated in Connor's Individualizing Student Instruction research (Connor et al., 2009; Connor et al., 2010). In her research, she documented

that students with difficulties in SR who received quality reading intervention from teachers with good classroom management practices made greater academic gains than similar students with SR issues in classrooms with poorer classroom management skills. Ensuring that our intervention delivery includes behavioral practices to support issues with SR and executive function, areas in which many struggling learners have difficulties, could improve overall student development.

As a field we have learned much from our efforts in RTI, PBIS, and now MTSS. We need to capitalize on this momentum and create truly integrated systems to promote the development of *students*. Rather than having teams think separately about academic and behavioral needs, we need our schools, teachers, and specialists to consider the overall needs of the students, which will require better collaboration across our specialists (academic interventionists/instructional coaches and behavior specialists). Having these specialists working as part of the overall intervention planning and evaluation process (data-teams/student study teams) may enable us to better meet the full academic and social emotional needs of each student.

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## A Model of MTSS: Integrating Precision Teaching of Mathematics and a Multi-Level Assessment System in a Generative Classroom

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In the generative classroom, teachers provide well-designed learning environments that result in the combination, recombination, and reorganization of repertoires such that new untaught repertoires are likely to occur. One component that can contribute to such generativity is Precision Teaching (PT), a frequency building instructional intervention. A multi-level assessment system, combined with evidence-based practices of teaching and learning can result in systematically accelerated student progress in mathematics thus enhancing RtI frameworks. Additionally, PT contributes to nourishing a Multi-tiered System of Support (MTSS) implementation by creating a common language between and amongst students, teachers, families, and administrators. In this unique blended system, the data collected by administrators, teachers, and students are continuously assessed and used to inform instruction and teacher training needs. A graphic presentation of these data on the Standard Celeration Chart (SCC) guides goal setting and interventions. This paper presents a case study detailing the rapid progress of a class of students during one academic school year using PT.

Keywords: Response to Intervention, Multi-Tier System of Support, Precision Teaching, Multi-Level Assessment System, Progress Monitoring, Curriculum-Based Measurement, Frequency-Based Instruction, Education Reform, Responsiveness to Intervention, Response to Intervention, Mathematics, Assessment, Intervention, Inclusion, Generative Instruction, TAPS, Talk Aloud Problem Solving

#### INTRODUCTION

Response to Intervention (RtI) was developed on a foundation of research that helped to identify the need for multiple tiers of service delivery in education to meet the learning needs of all students. These tiers, or levels, vary in terms of the intensity of intervention needed, as well as in the manner in which data inform each tier of service delivery (Vaughn & Linan-Thompson, 2003). Batsche (2014) identified one key difference that defines the multi-tiered method of service when IDEA was reauthorized in 2004. "The tiers were now defined in terms of *intensity* (time and focus) of instruction rather than as a place, provider, or instructional strategy. In this new context, theoretically any tier of instruction could occur in any place" (p.183). The term Multi-Tier System of Supports (MTSS) is used to describe the larger framework that encompasses an RtI model. Specifically, MTSS refers to a research based framework driven to create successful and sustainable system change and provide the most effective instruction possible to every student, which includes those with learning deficits and those with advanced learning needs (Riccomini & Wetzel, 2009).

Employing MTSS places the RtI model in the center of the educational organization. As our needs, discoveries, and trends in education evolve, the movement to implement evidence-based practices requires us to use instructional interventions that blend the learning sciences with our knowledge of healthy social environments. Any school system, whether it be an independent, charter, or contract school or part of a public school district, can take advantage of the methodologies arising from MTSS. However, there are several variables that significantly impact the effectiveness of MTSS systems, such as the: a) extent to which sensitive instructional placement procedures are employed, b) degree to which high quality teaching methodologies are used, c) depth and breadth of teacher training and support initiatives, and d) adequacy of student assessment systems and procedures in producing improved outcomes.

Certainly, one variable that greatly impacts RtI for vulnerable and at-risk learners is the degree to which the initial assessment procedures help to inform precise instructional placement. The use of homogeneous skill groupings is predicated on the assumption that children with marked skill deficits will learn better and make more progress when their teacher is best equipped to meet the specific challenge. When the primary goal of academic instruction is to close an academic gap, then relatively homogeneous skill groupings are highly preferable to very divergent, heterogeneous skill groupings because of the specific and intensive instruction that is needed to address skill deficits. When schools and teachers select reputable curricula that align with large-scale policies in education such as the Common Core State Standards, many students are likely to benefit. However, accelerated academic skill growth, which goes beyond what typically occurs for students with learning challenges, requires a much greater emphasis on diagnostic and prescriptive solutions that enhance a lesson-by-lesson approach to instructional planning.

Such diagnostic and prescriptive assessments often result in identifying component skills that make up the composite performances that occasioned the assessment in the first place. Learners who have problems mastering the same composite skill may experience this as a result of missing different components. In the generative classroom as described here, carefully identifying, establishing, and practicing these components may result in success with the composite performance with little or no direct teaching of the composite, hence the term *generative instruction*. Generative instruction, defined by Johnson and Layng (1992, 1994), is rooted in important discoveries from basic behavior analytic laboratory research (Andronis, 1983; Andronis, Goldiamond, & Layng, 1983; Epstein, 1981, 1985, 1991) and applied behavioral research (Alessi, 1987). What results from this generative approach is the rapid acquisition of critical component skills that facilitate the combination, recombination, and

reorganization of repertoires such that new, untaught repertoires are likely to occur. In this sense, not only would advanced algebraic skills be more likely to emerge without explicit instruction using a generative approach to learning, so would bursts of creativity and scientific discovery (Epstein, 1991; Goldiamond & Layng, 1983; Pryor, Haag & O'Reilly, 1969; Sidman, 1994).

Many evidence-based practices can be employed in the context of an MTSS system to achieve generative outcomes. These include: a) the content analysis and sequencing of Direct Instruction (Archer & Hughes, 2011; Engelmann & Carnine, 1982) b) explicit instruction (Hunter, 1994; Markle, 1990; Tiemann & Markle, 1991); c) Precision Teaching (Lindsley, 1990); d) dynamic assessment systems (Malmquist, 2004); e) student-driven motivation systems with social and emotional learning, using a constructional approach (Colvin, 2004; Goldiamond, 1974; Latham, 1998); f) strategy teaching, such as Talk Aloud Problem Solving (Robbins, 1996, 2011, 2014) and questioning strategies (Robbins, Layng, & Jackson, 1995); as well as g) comprehensive professional development opportunities (Johnson & Street, 2004).

A critical and unique instructional feature incorporated in the generative classroom described in this paper is the application of Precision Teaching (Johnson & Street, 2013; Lindsley, 1990, 1991), which can be used to accelerate learning outcomes while informing the instructional process. This highly effective teaching framework relies on frequency-based practice as well as the notion of component/composite analysis (Johnson & Layng, 1992). Component/composite analysis involves breaking larger, more complex skills down into their distinct component parts which are then targeted for frequency-based practice. The frequency-based practice yields daily data points charted on a Standard Celeration Chart (Calkin, 2005; Kubina & Yurich, 2012; Pennypacker, Koenig, & Lindsley, 1972) that reveal small, yet crucial increments of growth on the component skills that form the larger composite skills (White & Haring, 1980). The data on a Standard Celeration Chart are indicated as the number of correct and incorrect movements, or units, achieved by the student during that timing interval. Students typically complete several one minute timings on a specific component skill and then graph the "best" performance of the day on the "Daily per Minute" Celeration Chart. The teacher analyzes the graphed data across practice sessions and determines if the rate of learning, or celeration, is adequate enough for the student to achieve fluency in an efficient manner. If the student does not seem to be making sufficient progress, additional instruction or frequency-based component skill practice is prescribed. The goal of Precision Teaching is fluency, which Johnson & Layng (1996) defined as "...flowing, effortless, well-practiced, and accurate performance" (p. 281).

Ultimately, Precision Teachers are primarily interested in helping students build fluency on individual component skills so the skills become automatic and readily accessible by the student when working on composite skills and their recombination. Precision Teaching further provides a means of formative evaluation, which results from monitoring performance of the composite skills while the component skills are still being developed. For instance, a teacher may determine that a student incorrectly answering complex multiplication problems has deficient component skills, including quickly solving basic math facts and adding columns of numbers from right to left. The teacher would then incorporate isolated frequency-based practice in these two component skills until the student reaches rate-based mastery criteria, which is often defined as 60 correct digits written in one minute on most math computation skills. At the same time, the teacher may continue to monitor performance on answering complex multiplication problems with the assumption that as component skill fluency increases, composite skill fluency will also steadily improve. If composite skill fluency is not steadily improving, it is assumed that other deficient component skills need to be identified and practiced under timed conditions at least 3-5 times per week until the rate-based mastery criterion is achieved. The instruction preceding frequency-based practice on a particular skill can occur in any instructional arrangement, methodology, or design (Lindsley, 1991). This is especially relevant in RtI classrooms as students moving through instructional tiers most likely require a variety of instructional approaches depending on their individual needs. As long as the teacher is collecting data while charting and analyzing it using the Standard Celeration Chart, all the tools needed to know if the student is learning efficiently are available to the teacher.



Figure 1. The Standard Celeration Chart Used to Track Frequency-Based Performance

Perhaps the most critical element of the MTSS system is this type of high quality performance data, which essentially functions as the engine driving the entire system. The most effective MTSS systems utilize various levels of data to facilitate careful placement in the curriculum, to inform instructional decisions in the classroom, and to identify teacher-training needs. In this paper, we present a case study of a successful MTSS system in which Precision Teaching (Lindsley, 1990) and a Multi-Level Assessment System (Malmquist, 2004; Moors, Weisenburgh-Snyder, & Robbins, 2010) were integrated into a generative classroom. The accelerated academic gains in math achieved by the participants are described below.

#### A CASE STUDY

#### Setting

This case study was conducted at a private school in Seattle. The student population of approximately 70 students had not experienced academic or social success in traditional public or private schools. Most students were identified with various mild to moderate disabilities. All students demonstrated some degree of academic deficit, typically ranging between six months to three years behind same age peers at the elementary school level.

#### Assessment Sequence & Measures

A Multi-Level Assessment System (Malmquist, 2004) was used in this case study to evaluate student learning outcomes. The specific system elements selected were driven by the important evaluation questions we sought to answer for each student following the principles of Deno's (1985) Problem-Solving Model. This evaluation system included Macro, Meta, and Micro Levels of analysis (Malmquist, 2004; Moors, Weisenburgh-Snyder, & Robbins, 2010).

**Macro Level Assessment.** The first step in the assessment sequence involved Macro Level assessment to examine the entering math skills of all students. Macro Level Assessment included norm-referenced achievement tests that were administered: (1) at the beginning of the school year to inform the instructional placement process and (2) at the end of the year to determine the general effectiveness of instructional programming while informing future teacher training needs. From the list of measures that were determined to have adequate technical adequacy, specific norm-referenced achievement tests were selected for the Macro Level Assessment using the following criteria:

1) Is there a close match between what is assessed and what is likely to be taught (i.e., testing/teaching overlap)?

2) Is the assessment instrument widely used both regionally and nationally, such that major stakeholders are more likely to share a common framework for interpretation of results?

3) Does the assessment instrument allow for meaningful pre- and post-testing measurement to help determine instructional impact within the same academic school year (i.e., September-June)?

In the current case study, two norm-referenced achievement tests were used to determine general guidelines for placement of students into homogeneous instructional groups. First, math subtest scores from the *Woodcock-Johnson Tests of Achievement® III, Basic Battery* (Woodcock, McGrew, & Mather, 2001) were analyzed to determine relative skill proficiency and specific instructional needs. Because this assessment instrument includes production-type responses from students rather than a multiple-choice format, more detailed item analysis was possible and assisted in instructional placement decisions.

The *Iowa Test of Basic Skills* (ITBS) (Hoover, Dunbar, & Frisbie, 2001) was administered the following day. The ITBS math related subtests that were administered included: (1) Concepts and Estimation, (2) Problem Solving, and (3) Math Computation, yielding scores for each individual subtest as well as a Math Total Score. In the present example, the students' chronological grade levels were used to select the appropriate testing level of the ITBS. If a student's entry-level basic skills were known to be greatly below typical peers, out-of-level testing was considered as an alternative to grade-level measurement to allow for more sensitive measurement. However, this was not an issue with students included in the current case study.

**Meta Level Assessment.** The next step in the assessment sequence was to use Meta Level data to provide more precise measures of academic skill performance. Meta Level Assessment is characterized by an increased frequency of administration and a higher level of sensitivity to small, incremental skill growth that is unlikely to be detected at the Macro Level. As Waldron, Parker, and McLeskey summarized (2014), "Research has revealed that the most critical factor related to the effectiveness of using CBM for progress monitoring concerns how teachers use these data to make instructional decisions" (p. 163). In this example, Curriculum-Based Measurement (CBM) tools that were closely aligned to the curricular content were administered on a weekly basis. The measurement materials selected included: 1) *Monitoring Basic Skills Progress (MBSP), Math Computation* (Fuchs, Hamlett, & Fuchs, 1990) and 2) *Monitoring Basic Skills Progress (MBSP), Math Applications and Problem Solving* (Fuchs, Hamlett, & Fuchs, 1994).

In the beginning of the school year, probes from three different grade levels were administered, scored, and interpreted to facilitate appropriate instructional placement and to determine a progress monitoring level that would be sensitive to growth over time. In addition to helping form cohesive instructional groupings, the baseline CBM scores also provided the basis for annual, measurable goals that were set and depicted using a time series graphic display of data (Deno, Fuchs, Marston, & Shinn, 2001). Each CBM graph included: (1) baseline data at a measurement level that was either at the student's chronological grade level, or at the highest grade level possible using out-of-level-testing procedures if necessary to achieve appropriate sensitivity to growth over time; and (2) an annual goal depicted on the graph, with an aimline (a projection of growth) drawn from baseline data. The graphed CBM performance data enabled an analysis of trend in performance to be determined in comparison to the aimline using the well-established practices of formative evaluation (Deno, 1985; Fuchs & Fuchs, 2008; Jenkins & Fuchs, 2012; Shinn, 1989; White & Haring, 1980).

**Micro Level Assessment.** The final step in the assessment sequence was to collect Micro Level data to further inform instructional decision-making. Micro Level Assessment requires daily data collection using measures that are highly sensitive to growth over time (Johnson & Layng, 1992; Lindsley, 1990). In the MTSS model discussed in this paper, the Micro Level Assessment was informed by Precision Teaching data. These data included measuring progress on component skills such as answering

basic math facts and composite skills such as the completion of complex arithmetic problems. Both level changes as well as slope, or celeration, depicting growth over time were analyzed to determine if a student was making adequate progress (Kubina & Yurich, 2012; Johnson & Street, 2004; White & Haring, 1980).

#### **Placement Procedures**

After analyzing these three layers of data, students were placed into homogeneous instructional groups. In this case, the math subtest results from the ITBS and WJ-III were analyzed first to determine which students were functioning at their chronological grade level and those who were not using norm-referenced comparisons. Given the number of enrolled students and number of teachers that were expected to run math groups during the school year, a sketch was created of preliminary instructional groups based on the results obtained from the initial assessment. Next, data from the Meta and Micro Levels were carefully considered to solidify instructional groupings. The core administrative staff of the school, including the Executive Director, the Principal, and the Director of Student Assessment, worked with the faculty to finalize the groupings.

For each instructional group, a blend of curriculum materials and instructional approaches were identified. Because an important feature of this instructional approach was that student data drove each decision made, the initial curriculum and instruction chosen were considered to be "hypotheses" and amenable to change as needed. For instance, it was determined that the instructional needs of the students in the present case study closely paralleled the scope and sequence of the *Saxon Math 54* and *Saxon Math 65* curricula (Hake & Saxon, 1994, 1995).

It is important to note that after initial placement decisions were made, teachers and administrators continued to use each layer of the Multi-Level Assessment System to inform the next. Daily decision-making was possible using Precision Teaching performance outcomes (Micro Level Assessment). Trends in performance from the weekly CBM probes (Meta Level Assessment) were used to validate the efficacy of the math curriculum and Precision Teaching instructional programming. The Meta Level data further enabled accurate predictions to be made regarding larger skill gains that were expected by the end of the school year using more widely accepted, but less sensitive, measures of skill improvement and achievement, such as the *ITBS* and *WJ III* (Macro Level Assessment). A flowchart illustrating this data based decision-making model and the relationship between assessment and intervention is depicted in Figure 2.

#### Participants

There were ten students included in the math class examined in this case study. All students were male. Ethnic breakdown included five students (50%) who were Caucasian, one (10%) was mixed race African American / Indian, and four (40%) were of Asian descent. Using their chronological grade placements, four of the students (40%) were considered to be in 4<sup>th</sup> grade, five students (50%) were considered to be in 5<sup>th</sup> grade, and one student (10%) was considered to be in 6<sup>th</sup> grade. However, on average, the students were performing between the end of third-grade and beginning of fourth-grade level based on their initial *ITBS* scores. Special edu-

cation eligibility categories included Learning Disability, Attention Deficit Disorder, Behavior Disorder, and Gifted. A description of the participants is presented in Table 1. Materials included *Saxon Math* books (Levels 54 and 65), frequency-based math practice materials, pencils, paper, Standard Celeration Charts, and one timer for each student. There were three Precision Teaching wall charts posted in the classroom as well to track student mastery of targeted math skills including basic addition/subtraction facts, multiplication/division facts, and more complex math computation skills.





ID	Grade	Race	SPED
<b>S</b> 1	4	А	none
S2	4	А	LD/ADD
S3	4	С	none
S4	4	С	ADD
S5	5	AA	none
S6	5	А	BD
<b>S</b> 7	5	А	LD
<b>S</b> 8	5	С	LD
S9	5	С	LD/Gifted
S10	6	С	none

Table 1. Description of Study Participants

*Note.* ID = student identification number; A = Asian; C = Caucasian; AA = African American; SPED = Special Education Eligibility Criteria; LD = Learning Disability; BD = Behavior Disorder; G = Gifted.

#### **Classroom Implementation**

One teacher provided the intervention to the group of ten students for approximately 90 minutes per school day from September through June. No instructional aides were present in the classroom. The teacher had a bachelor's degree in psychology that emphasized applied behavior analysis, a master's degree in special education, and one year of part-time teaching experience.

The school provided 120 hours of professional development to all teachers prior to teaching. This training included sessions focused on the theory, practice, and delivery of generative instruction including Direct Instruction (Engelmann & Carnine, 1982), Precision Teaching (Binder, 1996; Johnson & Layng, 1992; Lindsley, 1991, 1995; White & Haring, 1980), Applied Behavior Analysis (Skinner, 1938; Vargas, 2009), instructional design (Markle, 1990; Skinner, 1968; Tiemann & Markle, 1991), classroom behavior management models and strategies (Colvin, 2004; Goldiamond, 1974, 1984; Latham, 1998; Paine, Radicchi, Rosellini, Deutchman, & Darch, 1983) as well as diagnostic tools that would be used as part of the RtI problem solving process. The teacher also received 15 hours of professional development training related specifically to the math curricula and assessment system. Finally, the teacher participated in a two hour faculty seminar each week throughout the school year focused on curriculum needs, instructional delivery, and sharing student Standard Celeration Charts to facilitate instructional decisions.

Students participated in a general problem solving and reasoning class for 30 minutes per day in addition to their 90-minute math class each day. The classroom was configured with one instructional white board in the front of the classroom and one instructional white board in the back of the classroom. Desks were arranged in a horseshoe around each instructional board with seating for 10 around the board in the front of the classroom and seating for four around the white board in the back of the classroom.
In the problem solving and reasoning class, the entire class received a 10-15 minute lesson focused on a critical component of the problem solving process or introducing a new type of problem using a program called Talk Aloud Problem Solving, or TAPS (Robbins, 1996, 2011, 2014). Next, students worked in pairs to solve problems provided by the teacher on worksheets. The TAPS program enabled students to develop two repertoires, becoming both a Problem Solver and an Active Listener, while using increasingly difficult content-free logic problems that made the talk aloud process more probable. Having a positive attitude, breaking a problem into parts, working carefully, following along like a teacher, checking for mistakes, and answering with confidence were all key competencies of the TAPS program.

In the math class, students were divided into two instructional groups using initial learning rate on various math skills, displayed on the Standard Celeration Chart, to classify students as fast or slow responders. This classification was used to create two instructional groups within the math class: Group A (6 students) and Group B (4 students). Group A comprised learners that demonstrated faster learning rates on the (1) Standard Celeration Charts, (2) CBM math computation, and (3) CBM concepts and applications charts during the first month (baseline period) of the school year.

As illustrated by the class schedule in Table 2, Group A (n=6) received a 10-15 minute *Saxon Math* lesson (in the front of the classroom) while Group B (n=4) practiced their skills using frequency building exercises in math computation (in the back of the classroom). Saxon math lessons were delivered using Direct Instruction methods that included choral responding and individual student responding to check for understanding of the lesson. If students answered incorrectly during choral responding, additional example/non-example sets were included until all students answered correctly. After Group A started to work independently on the Saxon Problem Set assigned for the day, the teacher went to the back of the classroom to deliver a 10-15 minute Saxon lesson to Group B. It is important to note that during both lessons, the teacher was giving explicit and frequent positive feedback to the distant group for staying on task and following directions. Contingencies were also established such that groups that stayed on task throughout the class and completed all work would have a 10 minute break at the end of the class period.

Using their personal timers, students tracked the total amount of time required to complete the *Saxon Problem Set*. Next, they were expected to self-correct the assignment using one of the answer keys provided by the teacher. Students recorded the total amount of time required to complete the assignment and the total number of errors on the top of their paper. The teacher would quickly review the mistakes made across instructional groups at the end of each class to evaluate the need for additional instruction on certain concepts the following day.

9:20	-	9:30	TAPS - Whole Group Instruction	
9:30	-	9:50	TAPS Practice (Partnered Practice)	
9:50	-	10:00	Break	
			Group A (= 6 students)	Group B (= 4 students)
10:00	-	10:15	Saxon 54 Instruction - Lesson 1	+/- Math Fact Fluency
10:15	-	10:30	Saxon 54 Problem Set 1	x/* Math Fact Practice
10:30	-	10:45	Saxon 54 Problem Set 1	DP Math Computation
10:45	-	10:50	Break	Break
10:50	-	11:05	+/- Math Fact Fluency	Saxon 54 Instruction - Lesson 1-2
11:05	-	11:20	x/* Math Fact Practice	Saxon 54 Problem Set 2
11:20	-	11:35	DP Math Computation	Saxon 54 Problem Set 2
11:35	-	11:45	Break	Break

Table 2. Example of Daily Math Schedule

*Note*. TAPS = Talk Aloud Problem Solving.

In addition to the Saxon Math lesson and Problem Set, students spent time developing frequency-based mastery on three math computation skills each day including addition/subtraction math facts, multiplication/division math facts, and complex math problems. First students practiced attaining mastery on single digit math facts. There were 20 objectives included in the addition/subtraction math program (Morningside Press, 1993a) and 20 objectives included in the multiplication/ division math program (Morningside Press, 1993b). Both programs were similar in that each practice sheet contained 100 math problems that required memorizing number families while including intermittent cumulative review slices. Students were expected to complete one duration timing in which they answered all the items on the page and then recorded the total amount of time required to complete the slice. Next, students were expected to complete at least three one-minute timings and chart the best performance of the day on the Standard Celeration Chart for that objective. In all timings, the goal was to beat the previous day's performance. Math Fact mastery was defined as writing 60 correct digits in one minute with no mistakes. Students that demonstrated mastery received a star on the related wall chart posted in the classroom and were allowed to progress to the next, more difficult objective of the program.

Students also practiced systematically building fluency in more complex math computation skills using an adaptation of the Precision Teaching based program referred to as *Diagnostic/Prescriptive Math Computation*, or DP Math (Morningside Press, 2000a, 2000b). The program was designed to "fast track" students through mastery of arithmetic skills. Using this program, each student's performance was assessed (diagnosed) and then instructed (prescribed) in deficient skills. Students completed an assessment that measures performance across 100 instructional objectives in addition, subtraction, multiplication, division, fractions, decimals, and calculating simple percentages. After the DP tests were administered, the teacher analyzed error patterns, distinguishing between operation and fact errors. The DP wall chart was then populated by the teacher and posted in the classroom. It showed the complex math operations that each student had mastered, needed to practice, or needed to receive instruction on. In this way, the wall chart functioned as an individualized road map. Students could check the chart to determine which skills they needed to master next. Consequently, one student may be working on mastering long division with a two-digit divisor while another seated nearby worked on mastering dividing with decimals. The teacher provided brief instruction to individual students or in small groups as needed based upon their deficiencies. Similar to the math fact programs, students were expected to complete one duration timing and three one-minute timings each day on a specific DP math skill until fluency was achieved. Students were also expected to immediately self-correct their work using the answer key provided by the teacher. Mastery on a DP Math objective was defined as 60 correct digits written in one minute.

It is important to note that a group contingency system was utilized with each wall chart (addition/subtraction facts, multiplication/division facts, and DP math) such that each time the class achieved a set of 30 new mastery stars on any program (i.e., addition/subtraction math facts), the class received a reward such as a 15 minute class game, extra recess outside, or popcorn party. The reward was decided by the group beforehand and used as part of a larger incentive program to increase student motivation. After reaching the first reward level, students suggested and voted on the next group incentive for that particular wall chart. This contingency system resulted in more of a team-oriented atmosphere with peers consistently cheering for another's accomplishment in the math program.

#### **Fidelity Assurance**

The school's Executive Director, Principal, or Director of Assessment observed the teacher for a minimum of 30 minutes every other month and provided immediate feedback on implementation. The treatment fidelity checklist used to evaluate instruction is provided in Appendix A. Fidelity was determined to be above 90% across observations. Additionally, the teacher video recorded one instructional sequence (range, 10-15 minutes) twice during the school year. The recordings were shared and discussed with peers during the weekly staff seminar. Finally, the School Principal reviewed Meta Level data from the MBSP program with the teacher one time each month for approximately 5-10 minutes. If it was determined that a student was not making sufficient growth over time on a particular math computation component skill, the teacher designed a Precision Teaching based intervention to address the stagnant skill set.

#### Outcomes

Student performance from the *Iowa Test of Basic Skills* (ITBS) is summarized below in Table 3. Because *ITBS* test results were determined to most closely represent improvement in critical *composite math skills*, they are indicative of the general effects of the generative classroom. In fact, of the various components of the Multi-Level Assessment System, the ITBS could arguably be considered the least sensitive measure of growth for students functioning below grade level due to the large skill improvements needed to produce measurable change in ITBS scores upon re-testing with parallel alternate forms (Malmquist, 2004; Marston, 1989;).

ITBS Subtest	Pre SS	Post SS	SS Diff	Pre GE	Post GE	GE Diff	Pre PR	Post PR	PR Diff
Concepts & Estimation	187.6	216.4	28.8	4.0	6.1	+2.1 years	35.1%	59.6%	24.4
Problem Solving	189.4	223.4	34.0	4.1	6.6	+2.5 years	36.6%	63.9%	27.3
Computation	176.7	236.9	60.2	3.5	7.9	+4.5 years	19.2%	83.2%	64.0
Math Total	184.0	224.8	40.8	3.8	6.5	+2.7 years	25.8%	66.6%	40.8

Table 3. Mean Pre/Post ITBS Scores.

*Note*. N=10 students. SS = Standard Score, GE = Grade Equivalent, PR = Percentile Rank; Diff = Difference.

As depicted in Table 3, the mean pre-test Standard Score for ITBS Math Total was 184.0 while the mean post-test Standard Score was 224.8, representing a shift of +40.8 Standard Score points. Additionally, the mean pre-test Grade Equivalent score for the ITBS Math Total was 3.8 while the mean post-test Grade Equivalent score was 6.5. This reflects an average of +2.7 grade level gains in one academic year. When examining student performance in terms of Percentile Ranks, the mean pretest Percentile Rank score for the ITBS Math Total was 25.8, while the mean post-test Percentile Rank score was 66.6, reflecting a shift of +40.8 percentile points on average in one academic year. Similar gains for Concepts and Estimation, Problem Solving, and Computation subtests were observed.

A summary of the effects of the math intervention in terms of grade level performance for students in this case study is provided in Table 4. As indicated below, only two of the ten students in the group received ITBS Math Total Scores commensurate with their grade level at the baseline measurement period (i.e., pre-test). Seven of the ten students had Math Total Grade Equivalent scores that were more than one year below their chronological grade level, with Percentile Rank scores below the 21st Percentile. One student performed in the low average range (39th percentile). The remaining two students in the group scored in the average range of performance when compared to typical, same-age peers upon initial testing. In contrast, all ten students were performing at grade level at the post-test for Total Math. Only one student post tested below grade level on a single subtest, Concepts and Estimation, whereas eight tested below grade level for that subtest on the pre-test. The range of ITBS Math Total post-test scores was between the 35th-85th percentile for all ten students, with one student performing in the low average range (35th percentile), five students in the average range of performance (i.e., between the 46th-69th percentile), and four students receiving scores in the above average range (>75<sup>th</sup> percentile).

Subtest	Pre-Test	Post-Test
Concepts & Estimation	n = 2	n = 9
Problem Solving	n = 5	n = 10
Computation	n = 1	n = 10
Math Total	n = 2	n = 10

Table 4. Number of Students Performing at Grade Level as Measured by the ITBS

*Note.* N = 10 students.

#### **Case Summary**

The outcomes obtained in this case study suggested significant skill improvement was attained by all of the students who received this classroom implementation. Each student demonstrated steady, accelerated rates of improvement in the essential component skills of math. These effects were examined closely with daily (i.e., Micro Level) and weekly (i.e., Meta Level) measurement systems that informed instructional decision-making. An example of Micro Level data, representing Precision Teaching component skill mastery, is shown in Figure 3.

#### Figure 3. Precision Teaching Micro Level Data for "Student 8"



Perhaps most importantly, the ITBS results also indicated that the component skill mastery attained resulted in the students acquiring composite skill repertoires that could be reliably and validly detected using a group administered achievement test. The ITBS assesses a larger set of composite math skills including more complex computation skills, math concepts and estimation skills, and problem solving than those directly taught during instruction. For some students, their success was also likely impacted by the increased rate of academic engagement they demonstrated relative to previous learning environments. All of the students included in this case study entered the school year with a long history of behavior, attention, and/or other learning difficulties and had not mastered many of the critical learning skills necessary for school success (e.g., time management, asking for help when needed, working independently, peer tutoring).

One thing the students in the present case study had in common was a lengthy history of school failure, characterized by instructional approaches that failed to address their academic and social needs. With the mixture of instructional elements described in this paper, including Precision Teaching and the use of a Multi-Level Assessment System, these students effectively doubled the rate of growth that would be expected for an "average" elementary or middle school student. The students included here were not making progress at a rate similar to their typical peers in their previous school settings, so this generative instructional methodology appears to have led to meaningful and significant academic gains, helping to close the gap between their performance and that of their peers.

Several limitations were inherent in the present study. First, this was intended as a descriptive case study taken from an actual implementation of Precision Teaching in an applied setting. Because of this practitioner focus, there was no experimental design implemented to help control for extraneous effects. The small sample size should clearly be taken into consideration when interpreting results as well. Thus, a more conservative approach would be to conclude that the ITBS results suggest a correlational relationship between the instructional intervention program and the outcomes achieved. Despite these limitations, this data set has great value in helping to illustrate the application of a successful MTSS model. These results also bolster the robust database that exists to date in support of instructional techniques rooted in the principles of applied behavior analysis. Yet, this specific blend of instruction is unique in that it involved a central focus on Precision Teaching within a generative framework while using Precision Teaching data to enrich the decision-making model.

#### **Research to Practice**

The teaching methodology described in this paper addresses many of the critical barriers that inhibit the adoption of RtI and MTSS frameworks designed to meet the needs of all students. It draws upon a rich research base of empirically validated instruction and measurement techniques, but offers the unique advantage of being designed with ease of implementation in mind. Despite research supporting its use and theoretical foundation, this level of intensive instruction and detailed analysis of student learning on a day-to-day basis is the exception, not the rule. Further studies examining the barriers that limit the adoption of appropriately intensive instruction are warranted. It is also important to note that, to the detriment of many of our most vulnerable learners, there appears to be a high degree of variability in what is viewed as "intensive" instruction in educational practice.

One of the goals of the present paper was to describe some of the features that must be present for students to achieve skill mastery, such that retention and

application of new skills occurs and leads to more complex learning and success over time. This detailed programming for retention and application of component skills is a hallmark of generative instruction and provides the closest match we know of to the unique challenges that children with special education needs may face. In fact, it could be argued that these techniques offer the most thorough approach to what we think of as "learning" because the conceptual underpinnings of learning are broken down into smaller chunks so that systematic mastery of the larger skills can be obtained.

#### CONCLUSION

A primary goal in presenting this case study was to describe a solution to a pervasive problem that exists in educational practice today – the adoption of a teaching approach that is characterized by an attempt to "teach" the composite skills a student must learn directly, out of order, and regardless of the specific entering skill proficiency of the student because the composite skill is the terminal objective for that particular grade level (i.e., aligns with the Common Core State Standards). This approach is often characterized by "exposing" students to instruction and then moving to the next lesson either regardless of mastery or otherwise assuming that if the student answers a specific problem type with 80-100% accuracy at one point in time, that mastery was achieved. While this may make sense from the perspective that, yes, we do want the child to ultimately master the higher-order composite skills, we believe this approach ultimately short circuits the learning process.

One of the most critical elements in the instructional program presented in this case study was the use of rate-based criteria to establish mastery in math computation skills, which requires accurate *and* fluent performance. Specifically, students practiced building fluency in sequentially ordered math computation component skills to a rate of 60 correct digits written in one minute before progressing on to the next more difficult skill. However, just as the skill of "learning to read" is not complete after mastering phonemic awareness and decoding, competency in math cannot be viewed as simply becoming proficient in number sense or math fact fluency. Yet, at the same time, it is dangerous to assume that these foundational skills are not crucial component skills that form the building blocks of the more complex skill repertoires. For instance, we do not consider a student who has difficulty with algebra to have an "algebra disability." Rather, we find that *in every instance* in which students struggle with certain higher order math competencies, it is due to dysfluency in one or more of the essential component skills of that skill domain.

In closing, it is important to consider a related developing cultural problem we now face as well. Teachers and parents appear to be "rebelling" against "standardized testing" and "the common core" in alarming numbers (Eng, 2012; Lahey, 2014). Rather than suggesting we abandon sound assessment practices and empirically validated goal setting in education, we ought to view this problem as an unintended side effect of the instructional mismatch that may be built into current educational practice. Therefore, progress in achieving educational equality will require better alignment of our stated values with our practice.

It should be assumed that providing a free, appropriate public education for all children strengthens the U.S. as a nation, as it does any culture or society that

adopts a similar priority. However, this has turned out to be a daunting task. Because of the challenges inherent in such an undertaking, many reasons why we have fallen short of this goal have been proposed. In studying trends in educational practice over the last few decades, it is clear that more emphasis is needed relative to the quality and intensity of daily instructional delivery for at risk learners. While continued attention on the adequacy of learning standards (e.g., Common Core State Standards) is certainly warranted, any viable solution for truly meeting the unique academic needs of all students must go further and involve a careful examination of the very specific details of our teaching methodology and the manner in which we assess progress. The good news is that the solution to these challenges has never been closer. The progress that has been made in determining what works could be considered one of our greatest achievements of the past 100 years. The discoveries that have been made in the fields of learning and neuroscience are now at our fingertips. The challenge we are left with is determining the best way to get truly effective, empirically validated instructional programs and methods into the hands of those who need them.

Fortunately, instructional technologies are available that offer what is likely the best solution we have found to date for these complex problems; and, they are predicated on the extensive knowledge we have of learning and behavioral science. Including and further evaluating techniques derived from Precision Teaching, generative instruction, and a Multi-Level Assessment System within RtI instructional delivery models could help bolster MTSS frameworks and revolutionize the education of struggling students. It is only when we close the gap between our collective knowledge of how to provide a free, appropriate education for all learners and our ability to implement these strategies effectively in our nation's schools for all children, regardless of race, disability, and socioeconomic status, that our mission will be complete.

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#### APPENDIX A. TREATMENT INTEGRITY CHECKLIST

Date: \_\_\_\_\_ Name: \_\_\_\_\_

**General Coaching Form** 

Coach:

Organization									
yes	no		All materials needed ready						
yes	no		Materials accessible to students						
yes	no		eating allowed for visual of all students						
yes	no		Daily schedule posted and lists all parts of lesson						
Getti	ng Sta	rted							
yes	no	n/a	Teacher checked in with student displaying possible problem						
yes	no		Students knew what to do when they enter classroom						
yes	no		Instruction started within 2-4 minutes						
Expe	ctatio	ns							
yes	no		Attention signal delivered before instruction. Type:						
yes	no		Students had group response to signal						
yes	no		Teacher voice was bold and displays confidence						
yes	no		Teacher language was conscise						
yes	no		Expectations/Corrections stated in Can-do phrases (not don'ts)						
yes	no		Expectations given at appropriate junctures. # of times? When?						
Instru	uction								
yes	no		Pacing was energetic and appropriate						
yes	no		Used group responding for 1-2 word answers						
yes	no		Highly Interactive - How?						
yes	no		Demonstrated or modeled appropriately						
yes	no		Prompted or guided apporpriately						
yes	no		Released to individual practice appropriately						
yes	no		Used examples and non-examples						
yes	no		Gave timely and specific feedback						
yes	no		Catched all errors. Types of errors:						
yes	no		Provided no inadvertent cueing or ceilings						
yes	no		Provided instruction to at least two instructional groups. How many?						
Pract	ice								
yes	no		Teacher decision to practice was appropriate						
yes	no		Students started within 2 minutes						
yes	no		Students displayed appropriate behavior						
yes	no		Students were continually engaged						
yes	no		Appropriate interventions made as needed						
yes	no		Teacher constantly scanned and circulated						
yes	no		Students knew what to do when finished						
Beha	vior N	/lanag	ement						
yes	no		Praise was immediate and specific						
yes	no		Teacher maintained adult calm						
yes	no		Displayed genuine empathy & interest						
yes	no		Acknowleded student cooperation						
yes	no		Antcipated problem behavior and responded						
yes	no		Acted urgently given safety issues						
yes	no		Transitions were clear and quick						
yes	no		Had long term rewards system in place						
yes	no		riad short term rewards system in place						
			Used: Social rangible Activity Token Edible						

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### Measuring the Cool Tool as a Targeted Intervention to Minimize Teacher Reprimands and Students' On-Task Behaviors in an Urban Elementary School

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This study measured the effects of a targeted intervention, The Cool Tool, implemented in the secondary prevention tier to minimize teacher reprimands and students' on-task behaviors in an urban elementary school. The participants in the social skills intervention programs were seven teachers, across grades K-5. Assessments included pre-posttest classroom observations to measure teacher praise versus reprimand and students' on versus off task behaviors. Data on the effects of the social skills program showed that teachers did not increase their rates of praise statements toward students, however, levels of students' on task behaviors increased following the implementation of a social skill program.

## Keywords: Targeted Intervention, Social Skills, Teacher Praise, Students' On/Off Task Behaviors

#### INTRODUCTION

Consistently, the research literature has documented disproportionality among students of different racial and ethnic backgrounds in special education. Learning disability (LD) identifications are not distributed proportionately throughout the school population. Although previous literature has tended to focus on the disproportional identification of African American students with cognitive disability and emotional disturbance (Obiakor, 2001, 2006, 2007; Obiakor et al., 2004; Skiba et al., 2008; Skiba, Shure, & Williams, 2012), there is evidence to suggest that the gap between African American and White students in rates of identification with LD have increased since the 1970s, with African American students being increasingly more likely to be identified (Oswald et al., 1999; U.S. Department of Education, 2010); Asian students are at lower risk than White students of being in receipt of special education services for LD (U.S. Department of Education, 2010). Research shows that English Language Learners (ELLs) are typically either over-represented or underrepresented in district special education programs across the U.S. The ELL population percentages are disproportionate when compared to their English speaking peer populations' percentages. Research demonstrates that ELLs with the least amount of language support are most likely to be referred to special education. ELLs receiving all of their instruction in English were almost three times as likely to be in special education as those receiving some native language support (Artiles et al., 2005).

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Researchers have noted that disproportionality is a complex phenomenon and is influenced by a number of factors (e.g., poverty, schools, multiple risk factors) (see Obiakor et al., 2004; Skiba et al., 2008). Urban students who are at-risk for being labeled as LD often come to school with interpersonal issues and antisocial behaviors (e.g., hitting, lying, and aggression) that interfere with the teachers' strategies to focus their attention on academic instruction. These students who are at-risk for being labeled as LD may experience emotional, behavioral, and interpersonal issues with general educators that may impede academic achievement gains and the development of pro-social skills (Bullis, Walker, & Sprague, 2001; Utley, Obiakor, & Bakken, 2011; Vaughn et al., 2010). Shifrer, Muller, & Callahan (2011) noted that "disproportionate identification with a learning disability is perceived to be one of the central problems within special education for several reasons: (a) students may be referred to special education in response to issues other than a LD, (b) the identification process may be inconsistent and/or inaccurate, and (c) the disproportionately under-identified may not receive needed services." (p. 247) Unfortunately, little research is available on empirically-validated interventions to decrease racial and ethnic disproportionate student academic and behavioral outcomes (e.g., Skiba et al. 2008; Utley, Obiakor, & Bakken, 2011).

Evidence-based programs and interventions targeting special education programs fall within a multi-tiered system of support (MTSS) which consists of increased instructional time, interventions, and improved educational outcomes for students in general and special education. The National Association of School Psychologist (NASP) Position Statement, "Appropriate Behavioral, Social, and Emotional Supports to Meet the Needs of All Students" (NASP, 2009) recommended the MTSS comprehensive framework to address the academic, social, emotional, and behavioral development of children and youth. The MTTS framework consists of principles of response-to-intervention (RTI) and positive behavioral interventions and supports (PBIS) and integrates a continuum of system-wide resources, strategies, structures, and evidence-based practices for addressing barriers to student learning and discipline. Sugai and Horner (2009) identified the following features of the MTTS model: (a) interventions supported by scientifically based research; (b) interventions organized along a tiered continuum that increases in intensity (e.g., frequency, duration, individualization, specialized supports); (c) standardized problem solving protocol for assessment and instructional decision making; (d) explicit databased decision rules for assessing student progress and making instructional and intervention adjustments; (e) emphasis on assessing and ensuring implementation integrity; and (f) regular and systematic screening for early identification.

Within the MTTS model, one educational approach to solving problem behaviors in school-aged populations is the implementation of school-wide positive behavior support (SWPBS). Features of a successful SWPBS program includes implementing (a) positive behavioral expectations, (b) specific methods to teach these expectations to staff and students, (c) proactive supervision or monitoring of behaviors, (d) contingency management systems to reinforce and correct behavior, and (e) methods to measure outcomes and to evaluate progress (Luiselli, Putnam, & Sutherland, 2002; Taylor-Greene et al., 1997). The SWPBS model has three tiers with specific core elements at the (1) primary prevention/school-wide, including universal school-wide management strategies to reduce disruptive behavior and teach prosocial skills to all students; (2) secondary prevention, including targeted or group-based intervention strategies for students at risk of developing more serious antisocial behaviors (about 5% to 10%); and (3) tertiary prevention, including functionally derived treatment strategies for the small number of students (about 1%-3%) who engage in more chronic patterns of antisocial behavior (Horner, Crone, & Stiller, 2001; Horner, Sugai, & Lewis, 2005; Lewis & Sugai, 1999; Irvin et al., 2007; Luiselli et al., 2005; Luiselli, Putnam, & Sutherland, 2002; Sugai et al., 2000).

Recently, targeted interventions at the secondary prevention level have received more attention as educators search for evidenced-based strategies to address problem behaviors of students with LD. According to Todd, Campbell, Meyer, & Horner (2008), targeted interventions are designed to "provide efficient behavior support for students at risk of more intense problem behavior. Three elements have been identified as key to effective, targeted interventions: organizational systems, intervention practices, and data use. Intervention practices include strategies such as social skills training that focus on teaching the student (a) appropriate social skills, (b) when to use the skill, and (c) routines for using the targeted intervention" (pp. 46-47). The acquisition and learning of social skills occurs through the environmental interactions of parents, peers, and significant others. Thus, social skills are learned behaviors that require individuals to evaluate situations, choose social skills, and perform social tasks. The pedagogical practices (modeling/demonstrations, positive and negative feedback, student-centered learning, activation and use of participants' background knowledge, maintenance/generalization strategies, and inclusion of community in training) have been identified as effective (Cartledge & Koureau, 2008).

School data variables may include individual student progress (e.g., on task versus off task data) and teacher variables (e.g., praise versus reprimand). Research conducted by Witzel and Mercer (2003) revealed that "students who received contingent verbal praise (praise given only for appropriate student behaviors and not for general tasks) demonstrated significantly higher intrinsic motivation, as measured by both time on task and attitudes, than did the students who received no contingent verbal praise" (p. 88). More than two decades ago, research by Alber, Craft, & Heward (1998) stated that contingent teacher praise and attention produced reliable and significant improvement in children's behavior. Research has indicated highest behavioral benefits when the ratio of praises to reprimands is in excess of 5:1 (Partin, 2010).

The primary purpose of this article is to present data measuring the implementation of a targeted intervention (i.e., social skills instruction) at the secondary (classroom) prevention tier of a PBIS program conducted in an urban elementary school. The major research questions that guided this study were: (1) To what extent does a social skills program implemented at the targeted level in the classroom improve teacher behaviors (praise versus reprimand)? (2) To what extent does a social skills program implemented at the targeted intervention level in the general education classroom improve students' on-task behaviors?

#### Method

#### Participants and Settings

The school's composition consisted of 335 students enrolled in grades kindergarten through 5<sup>th</sup> grade. The student population in the school was Hispanic (68%), followed by African American (24%), White (4%), and Other (4%). The gender breakdown was 52% (female) and 48% (male), respectively. The ethnic/racial composition of the teaching staff was White (68%), Hispanic (19%), and African American (13%). The participants in the social skills intervention programs were seven teachers, across grades K-5.

#### School-wide Behavioral Expectations and Skills

The teachers and research staff discussed the problem behaviors of students in the classroom and school. The 5 most troubling problem behaviors of students were: (1) an inability to focus and complete tasks/assignments, (2) poor attitudes toward school, (3) attention seeking, escape, and avoidance behaviors (e.g., out of seat), (4) disruptive and destructive behaviors, and (5) not listening to adults. Additional group meetings with the research staff and teachers were held to: (a) provide an overview of social skills strategies, (b) discuss behavioral expectations and skills, and (c) outline a schedule of the program.

Two behavioral expectations and skills were agreed upon by teachers and research staff: (1) *Be Respectful of Others and Self*, and (2) *Be Safe*. The skills for *Be Respectful of Others* and *Self* consisted of (a) always doing your best, (b) listening to the teacher and following directions, and (c) respecting yourself and others. The skills for *Be Safe* consisted of (a) keeping feet and hands to self, and (b) walking at all times in the school and classroom.

#### Social Skill Intervention Training Program

The social skill intervention program involved 7 out of the 14 teachers in the school. Based upon school-wide observation data, teachers whose praise vs. reprimand ratios and students' on-task behaviors were below the school's averaged scores of 90% participated in the intervention program.

*Social Skill Strategy.* The *Cool Tool*, a six-week group-based social skill strategies, was adapted to teach behavioral expectations and skills (Langland, Lewis-Palmer, & Sugai, 1998). Instructional components of the *Cool Tool* consisted of (a) teaching appropriate skills and de-emphasizing inappropriate behaviors; (b) systematic teaching of social skills; (c) personalization of instruction to fit the classroom environment; and (d) elimination of extensive teacher preparation. The lesson format for teaching behavioral expectations and skills consisted of (a) a skill name to label and communicate specific behaviors and activities; (b) teaching examples and non-examples across a number of contexts in which a social skill should be applied (e.g., classroom, and hallway), (c) implementing student activities (e.g., role playing), and (d) implementing after the lesson activities to enhance acquisition, build fluency, and facilitate generalization and maintenance of skills. These activities involved the use of pre-corrections, prompts, reminders, tokens, and teacher praise. (See Figure 1)

#### Figure 1. Lesson Format for Behavioral Expectations and Skill

Time in	Skill: Accept Responsibility for Your Actions							
Minutes	Setting: Classroom/Hallway							
	<b>REMEMBER</b> to hand out tokens to students who accurately follow the Expectation Skill during instruction time.							
	Introduction:							
3	1. "Today we are going to talk about how to BE RESPONSIBLE by taking responsibility for your actions."							
	<ul> <li>2. What do you think making accepting responsibility might look like?</li> <li>• write student responses on the board</li> </ul>							
	• underline or circle key words such as not making excuses or lying, apologizing, etc.							
	3. Either by using the overhead, or by writing next to student responses, read the following steps for accepting responsibility:							
1	<ol> <li>APOLOGIZE for what you did wrong</li> <li>LISTEN to the adult who is talking to you</li> <li>DO NOT ARGUE</li> </ol>							
	<ul> <li>4. DO NOT make EXCUSES for what you did</li> <li>5. DO what you are told to FIX IT</li> </ul>							
	Teacher Model:							
1	Demonstrate accepting responsibility for your actions (such as taking responsibility for breaking something) while repeating the steps listed above out loud.							
	Role Play:							
1	Non-example: Using an example, demonstrate the skill incorrectly by (1) pretending you are a student and push someone (2); having a student pretend they are the teacher: (3) having the 'teacher' say '' I saw you push him/her''.							
2	(4) 'student' (you) says "I didn't do anything, well ok, so I pushed him but he looked at me and I don't like him; (5) 'teacher' says " you need to tell him you're sorry and go turn your card" (6) 'student' says "I don't want to" and stomp your foot.							
	Ask the class if you were making a good decision and what you could have done better.							
1	Example: Using the same example, demonstrate the skill <u>correctly</u> by following the steps: (a) Admit to hitting him, (b) Listen to the consequences, and							
	© Then do as you are told.							
	Keview:							
1	<ol> <li>APOLOGIZE for what you did wrong</li> <li>LISTEN to the adult who is talking to you</li> </ol>							
	<ol> <li>DO NOT ARGUE</li> <li>DO NOT make EXCUSES for what you did</li> </ol>							
	5. DO what you are told to FIX IT							

#### Expectation #1: Be Respectful of Others and Self

	Practice Throughout the Day:
	Periodically through the day, remind students to make good decisions, reward students who show this skill.
	2. Emphasize the (consequences) positive reinforcement they will gain for showing those behaviors, such as teachers' praise, stickers, tickets, etc.
	3. Remember to catch the students practicing the new skills and verbally and/ or tangibly reinforce those behaviors.
	Homework:
7	Fill in the attached worksheet. Bring your homework back tomorrow and hand it to your teacher.

Source: McGinnis, E., & Goldstein, A. P. (1997). Skillstreaming the elementary school child (rev. ed.), Research Press.

Social Skill Strategy Protocol and Training. Group and individual training sessions were held with teachers to teach them how to implement the social skill strategy protocol for each behavioral expectation and skill. The protocol consisted of the following 11 steps/strategies: (1) discussing the 'skill of the day' and rationale for the skill; (2) calling on students to describe and explain skills by using examples and non-examples; (3) presenting true definitions of skills, (4) modeling appropriate behaviors with teacher and/or students; (5) conducting role playing sessions; (6) using questions to interactively define each part of the skill with students; (7) completing expectation activities; (8) marking transitions at the beginning and end of activities (i.e., 2 minute warning); (9) counting and writing number of tokens earned on the back of activity sheets; (10) collecting activity sheets, and (11) awarding re-enforcers to students. Posters displaying each of the behavioral expectations and skills were posted as reminders to teachers and students in classrooms and the hallway.

Behavioral expectations and social skill strategies were taught for 30 minutes three days a week for a 6-week period to both the teacher and students in classrooms by a trained research assistant in social skill instruction using the *Cool Tool* lesson format. In addition, social skill lessons were selected from the published curriculum titled, *Teaching Friendship Skills*. During the first four weeks of the social skill instruction, the teacher observed the research assistant teaching social skill strategies and monitored the students' behaviors. During the last 2 weeks of the social skill program, classroom teachers were responsible for implementing the entire social skill strategies.

*Reinforcement System.* A token-economy reinforcement system was implemented in each classroom. Tokens were rewarded to students for displaying appropriate behaviors during lessons and activities. The appropriate behaviors were (a) raising your hand to speak and waiting to be called on, (b) eyes and ears on the speaker, and (c) hands and feet still. Tokens were added together at the end of the session and the student with the most tokens earned a prize (e.g., candy, pencils, pens, and small toys).

#### Social Skill Procedural Checklist

The social skill procedural checklist was designed to assess the accuracy with which teachers followed procedures identified in the lesson format for teaching social skill instruction. This 10-item procedural checklist included the behaviors described in the social skill intervention protocol. The research staff completed the checklist marking yes, no, or not applicable for each item observed during the implementation of social skill strategies. Checklists were completed three times each during the 5<sup>th</sup> and 6<sup>th</sup> weeks of implementation of the social skill strategies. (See Figure 2)

Teacher	: Date: Observer:			
Social S	kills Curriculum:			
1.	The teacher presents the social skill instruction as directed in the script/manual.	Y	N	NA
2.	The teacher defines the skill according to school virtues or classroom rules.	Y	N	NA
3.	The teacher is actively involved in the lesson.	Y	Ν	NA
4.	The students have an opportunity to respond during the lesson (activities and discussion, not lecture)	Y	N	NA
5.	The teacher uses specific examples and non-examples for the expected behavior.	Y	N	NA
6.	The teacher gives feedback to the students on their ideas for use of the skill (praise, correction).	Y	N	NA
7.	The teacher circulates amongst the groups to monitor practice activity.	Y	N	NA
8.	The teacher provides verbal praise for specific appropriate behaviors (in presence of external re-enforcers or without).	Y	Ν	NA
9.	Other: The teacher uses incidental teaching to reinforce skill use	Y	N	NA
	Observed:			
	Teacher Reported:			
10.	The students receive external re-enforcement for social skills use/positive peer interaction (points, bonuses, special activity) fairly and evenly.	Y	N	NA

#### Figure 2. Social Skills Procedural Checklist

Describe reward system: \_\_\_\_

Describe consequence system:

#### Measurement of School-wide Observations

*Classroom observations of teachers and students.* The benchmark for determining the average teacher praise vs. reprimand ratio for a classroom is 5:1 (Sutherland, Wehby, & Yoder, 2002). In this study, two classroom observations were conducted across grades kindergarten through 5<sup>th</sup> grade with 14 teachers to determine the average school-wide teacher praise vs. reprimand ratio. The results of aggregated data showed that the averaged school-wide teacher praise vs. reprimand ratio was 3.2:1.

In the research literature, the benchmark for student on/task behavior is 85% (Lewis, 2006). In this study, two classroom observations were conducted across each grade from kindergarten through 5<sup>th</sup> grade with approximately 14 teachers to determine the school-wide averaged students' on task versus off task behaviors. The averaged student on-task behavior was 77%; the averaged student off-task behavior was 23%.

#### Measurement of Teacher and Student Behaviors

Observation training procedures and reliability. Direct classroom observations were conducted by trained research assistants and doctoral students employed at a large research institution. Training procedures consisted of reliability sessions until three consecutive sessions at 80% or higher were completed across each observation measure and social skills procedural checklist. Inter-observer agreement for conducting observations of students' on-task/off-task behaviors, and teacher's praise/ reprimands were obtained with a second observer in the classroom. The reliability observer also completed the social skills procedural checklist for teachers. Reliability across instruments was collected for 10% of the total observations and averaged at 96%. The inter-observer agreement score among observers for the procedural checklist was 99.5%.

*Teacher praise versus reprimand.* Teacher praise/reprimand statements were observed and scored. The average ratio of praise and reprimand statements was computed over three 20 minute observations with a timed stopwatch. During each classroom observations, tallies (e.g., 'I' for individual teacher praise or reprimand; 'G' for group teacher praise or reprimand) were used to count praises or reprimands during 1-minute intervals for 20 minutes with a timed stopwatch. Observations varied across subject matter and consisted of three 20 minute intervals. The average ratio of praise statements was computed by adding all tallies from each the 1-minute intervals praise category and rationed against the total number of reprimands. The average ratio of teacher praise versus reprimand statements was computed by adding all tallies from each of the 1-minute and rationed against the total number of praise statements. The ratio of teacher praise versus reprimand statements was computed on a number:1 ratio basis by dividing each number by the second number.

Students' on-task versus off-task behaviors. This observation instrument was based upon a coding system that measured (a) classroom activities (e.g., group and individual student behaviors), (b) classroom transitions, (c) teacher behaviors (e.g., attention, instruction, praise, and reprimand), and (d) behavior (e.g., students' behaviors in a specified group). To determine the percentage of time students were on/ off task, the teacher divided students into groups by their location in the classroom. Group on-task versus off-task students' behaviors were recorded during 20-minute observations using a stopwatch. Student data was taken every 30 seconds. Symbols were used to record the groups' behaviors, as well as the teachers behavior at the appropriate interval. The averaged frequency of on-task versus off- task students' behaviors were conducted across 3 observations.

Students within the classroom were divided into groups by their location allowing (generally) 3-5 students per group. Each group was then assigned a number. Observations varied across subject matter. Data intervals were 30 seconds each and data were taken instantaneously at the time marker. Symbols were used to record the group's behavior as well as the teacher's behavior during the appropriate interval. The data sheet was designed to record teachers' behaviors with a group of students, and the groups' behaviors. The on-task percentage was computed by totaling all '+' signs on the sheet and dividing by the total number of opportunities. Average ontask percentage was then computed by averaging all of the percentages from each observation together and dividing by number of observations.

#### RESULTS

Research Question 1. To what extent does a social skill program improve teachers' behaviors (praise versus reprimand) and student behaviors (on/off-task)? As displayed in Table 1, the pre-averaged teacher praise versus reprimand ratio was .9:1 as compared to 1.2 (i.e., post-averaged teacher praise versus reprimand ratio). As displayed in Table 1, there was a small difference in pre and post ratios (n = .3), indicating that the social skill strategies did not significantly improve the number of praise statements given by teachers to students. Inspection of individual teacher praise versus reprimand ratios showed slight increases in teacher praise statements following the implementation of the social skill intervention program.

Teacher	Grade Level	Pre-Average Ratio	Reliability	Post-Average Ratio	Reliability
А	Kdg.	0.75:1	93%	0.8:1	95%
В	1st	0.82:1	93%	1.5:1	96%
С	1st	2.75:1	90%	2.1:1	93%
D	2nd	1.06:1	90%	1:1	95%
Е	2nd	0.7:1	100%	0:1	98%
F	4th	0.06:1	88%	0.8:1	89%
G	5th	0.24:1	65%	1:1	100%
Average		.9:1		1.2:1	

*Research Question 2.* To what extent does a social skill intervention program improve student behaviors (on-task)? As shown in Table 2, the averaged pre-observation students' on task behavior was 71%, r=.84. Following the implementation of the social skills program, the averaged post-observation score 87%, r=.88, indicating that the students' increased their on-task behavior by 16 points.

	,				
Teacher	Grade Level	Pre On-Task	Averaged	Post- On	Averaged
		Scores	Pie-	Task Scores	Post-
			Reliability		Reliability
			Score		Score
А	Kdg.	65%	.88	83%	.88
В	1st	73%	.88	92%	.96
С	1st	61%	.81	96%	.95
D	2nd	71%	.78	84%	.84
Е	2nd	61 %	.76	88%	.92
F	4th	78%	.80	81%	.72
G	5th	89%	.96	86%	.92

Table 1	2. Averaged	Pre and	Post-C	Observatio	on Stud	lents' C	On Task	: Behavi	ors
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*Fidelity of implementation of social skill intervention program.* The averaged mean of social skills was 85%. The social skills observed most frequently were (a) defining skills, (b) providing students with opportunities to respond during lessons, (c) participating role-playing scripts, (d) using examples and non-examples to describe skills, (e) giving feedback to students on the use of their skills, (f) circulating throughout the room, and (g) implementing a reward system of tokens and verbal prompts as consequences.

#### DISCUSSION

The primary purpose of this article was to measure the targeted prevention (classroom) tier of a SW-PBIS program in an urban elementary school. Very few research studies have examined the extent secondary (classroom) prevention tier programs are implemented in urban, multicultural student populations in elementary schools (Jones et al., 2006; Utley, 2012; Utley, Kozleski, Smith, & Draper, 2002). One unique feature of this PBIS study is that teachers taught urban culturally and linguistically diverse children who were at-risk for being labeled as LD due to poverty. The present research also contributes to the literature on social competence and the effects of a social skill program designed to change teachers' praise versus reprimand statements and students' on/off task behaviors (Duda & Utley, 2006). The data from this study showed that urban teachers did not increase their praise statements, however, levels of students' on task behaviors increased following the implementation of social skill strategies. This finding does not support previous research findings suggesting that following a social skill intervention that the number of teacher praise statements does improve (Ferguson & Houghton, 1992; Utley, Greenwood, & Douglas, 2007).

Unlike previous research conducted by the first author and other researchers, the *Cool Tool*, did not reverse the negative cycle of teacher reprimands and negative reinforcement to culturally and linguistically diverse students. The professional development, training, and implementation of this social skill intervention did not improve teachers' ability to give appropriate, contingent, and behavioral feedback to urban, multicultural students. According to Bullis, Walker, and Sprague (2001), the length and intensity of the social skill intervention have significant effects on producing short-and long-term consequences. These authors noted that social skill training programs are "conceptualized in terms of weeks, rather than months or years, an exposure that is simply too weak, in most cases, to impact at-risk or antisocial children in an enduring, positive way. For example, social skill training (SST) frequently is offered much like a class or a set of therapeutic meetings with a finite and relatively short-term duration (e.g., a few weeks to a few months); and instruction is constrained to the classroom setting and usually does not include training in the target environments (e.g., the general school setting, community, or employment." (p. 71).

A second plausible reason for the lack of significant effects of the social skill intervention program on increasing teacher praises with culturally and linguistically diverse at-risk students is that this intervention is an integral piece of a multiple, comprehensive intervention, family support, and academic program, and not a singular or isolated intervention. In this study, the length and duration of the social skill strategies were not offered over a substantial period of time and with sufficient intensity to alter teacher behaviors. In addition, social skill strategies must be embedded as a multi-component intervention within the academic program and viewed by teachers as a necessary and critical component of the teaching-learning program.

A third plausible reason for the insignificant difference or change between pre and post ratios in teacher praises is that the social skill intervention provided minimal support to prevent or ameliorate antisocial behaviors in culturally and linguistically diverse at-risk students. Gresham (1998) noted that the meta-analyses of the efficacy of social skill intervention studies, conducted in the 1990s, produced a magnitude of treatment effects averaging (0.35), range = 0.20-0.47, this range of effect sizes generally defines weak to moderate treatment outcomes in the professional literature (Bullis, Walker, & Sprague, 2001; Gresham, 1998). More recently, Losel and Beelmann (2003) conducted a meta-analysis of social skills training programs as a measure of preventing antisocial behaviors in children and youth. The results of this meta-analysis showed that (a) the best estimated mean effects were d = .38 (post-intervention) and .28 (follow-up); (b) effects were smaller on antisocial behavior than on related social and cognitive measures; (c) empirical studies with large samples produced lower effect sizes than those with smaller samples; and (d) programs targeting at-risk groups had better effects than universal programs.

A fourth plausible reason for the small change in teacher praise versus reprimand ratios is that of cultural discontinuities in a host of variables: the school culture, teachers' perceptions, and teacher's level of cultural competence in teaching social skills. Cartledge and Loe (1991) noted that "the culture of the school often aggravates rather than remedies the social skill problems of students from culturally diverse backgrounds...competitive, non-affirming, unattractive, and inadequate school environments do little to promote students' self-regard and undoubtedly contribute to disruptive, antisocial behaviors" (p. 34). These authors further stated that teachers' perceptions influence expectations and judgments about students' abilities, effort, and progress in school, thus leading to child-deficit assumptions. Lastly, these researchers expressed the need for educators to make a conscious effort to become cross-culturally competent in order to (a) understand and respect the cultural backgrounds of their students; (b) become skilled in their perceptions of culturally specific behaviors of their students; and (c) distinguish culturally specific characteristics that reflect learning and problem behaviors from categories of exceptionality (e.g., learning disabilities, behavior disorders). Further research is needed in order to examine culturally responsive teaching in relation to the implementation of social skills strategies.

Of the few published studies using direct observation procedures, a positive outcome of the implementation of social skill strategies in this study was that students' levels of on-task behavior increased, thus, reducing their disruptive behavior (e.g., Ferguson & Houghton, 1992; Lane et al., 2003; Miller, Lane, & Wehby, 2005). Implications of this study are that the relatively direct observations and/or the analysis of students' classroom behaviors are needed when evaluating social skill strategies and intervention programs. In addition, results of this study also imply that direct teaching, modeling, supportive feedback, and opportunities to practice new social skills are beneficial to culturally and linguistically diverse at-risk students.

#### Limitations and Recommendations for Future Research

The first limitation in this study was that the whole school was the unit of analysis and we did not compare the effects of the PBIS intervention using a variety of measures in more than one treatment school and comparison schools. To measure whole school effects, it is recommended that the sample in future studies be randomly selected with a large number of relatively equally matched schools for the PBIS intervention and a control versus comparison group of schools. Second, significant effects of the PBIS intervention must be conducted over a multi-year period. Third, statistical analysis of the data did not examine individual students in the PBIS intervention. Fourth, because discipline referrals in the school were low, the study did not include this variable as an outcome measure. Additional collateral measures should include students' opinions using rating scales to measure school safety and fairness of the PBIS program, to mention a few.

Based upon this study, we propose the following recommendations: (1) a professional development program focused on attributes of effective urban teachers, one that is culturally responsive in nature; (2) the examination of critical teacher behaviors, assessment, and teaching practices in a culturally responsive framework in relation to student outcomes (i.e., academic, discipline and classroom management); (3) continued direct observation and classroom-based research focused on teacher-student interactions as measured by teacher praise vs. reprimands; (4) support for teachers in the training and implementation of PBIS strategies at the individual student level; and (5) reconceptualization of the social skills intervention training program and strategies in terms of length and intensity with delivery within key target settings; and embedded within the traditional academic program.

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# Integration of Academic and Behavioral MTSS at the District Level using Implementation Science

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The evolution of multi-tier systems of support (MTSS) for both academics and behavior has reflected the diverse interests of those leading implementation efforts, the influence of various state and local regulatory requirements, and differing funding methods and priorities. These variations have naturally led to many different pathways for implementing MTSS. Although the role of the district in MTSS has varied, many leaders in the field of education consider district leadership and involvement an essential component for successful MTSS implementation. District leadership in MTSS is used to provide schools with political and administrative support, training and technical assistance, layered in-service curricula, data-based decision making systems for ongoing evaluation, and access to interagency relationships for supporting student health and wellbeing. This article addresses the key district mechanisms that are used to integrate academic and behavioral interventions as school personnel learn new strategies for improving outcomes for students.

Keywords: Multi-Tier Systems of Support, Academic Challenges, Behavioral Difficulties, Evaluation of School Programs.

#### INTRODUCTION

Two approaches for improving social and academic outcomes for students have gained national attention and are now implemented on a socially significant level: response to intervention and school-wide positive behavior support (Lane, Oakes, & Menzies, 2010; McIntosh & Goodman, in press; Stewart, Benner, Martella, & Marchand-Martella, 2007). Response to Intervention (RtI) is a tiered approach addressing all students within a school by providing the appropriate intensity of academic support necessary for educational progress (Batsche et al., 2005). School-wide positive behavior support (SWPBS) uses three prevention tiers to organize effective social skills instruction and behavioral interventions along a continuum of increasing intensity (Sugai & Horner, 2009). A number of professionals are advocating for an integration of RtI and SWPBS (Hawken, Vincent, & Schumann, 2008; Lane et al., 2010; Utley & Obiaker, 2012; Walker, Ramsey, & Gresham, 2004), while some have indicated concern that these models tend to be implemented in isolation from one another (Stewart et al., 2007).

Both RtI and SWPBS are based on a public health model for prevention (WHO, 2004) and are tailored to meet the needs of each student to ensure academic

and social success (McIntosh, Horner, Chard, Boland, & Good, 2006). The implementation tiers for both RtI and SWPBS include universal screening and supports for all students, tier two strategies for early intervention when students are not responding to tier one, and intensive and individualized planning processes at tier three for students who are experiencing academic or behavioral challenges (Sugai et al., 2010). Additional practices that academic and behavioral multi-tier systems of support share include: (1) evidence-based curricular and instructional practices for all students, (2) a data-based framework for decision making, (3) use of a problem-solving process across all levels of the system, and (4) a team-based approach for leading, planning, and evaluating implementation efforts (Hawken et al., 2008).

In this article, we refer to the use of both RtI and SWPBS as multi-tier systems of support (MTSS), the integration of several tiered implementation models into one coherent, combined system meant to address the layered domains of education including literacy and social competence (Lane, Menzies, Ennis, & Bezdek, 2013; McIntosh & Goodman, in press). We will describe the essential role of district-level leadership in addressing the effective and sustainable implementation of MTSS. The key features describing systems change mechanisms that can be used by district teams to integrate academic and behavioral interventions will be discussed with examples of how district and school leaders applied these strategies in order to improve outcomes for their students.

#### **Challenges Encountered in Integrating MTSS**

The National Association of State Directors of Special Education developed a set of RtI blueprints that can be used by state, district, and building teams (Elliott & Morrison, 2008; Kurns & Tilly, 2008). These blueprints were designed to guide district and school teams throughout RtI implementation. The National Technical Assistance Center on Positive Behavioral Interventions and Supports (PBIS) (Sugai et al., 2010) also designed a tool for guiding state, district, and school teams implementing school-wide positive behavior support (SWPBS). Both the RtI and PBIS blueprints emphasize the use of consensus building among all stakeholders throughout the design and coordination of MTSS. Topics the PBIS blueprint address include funding issues, visibility of efforts, political support, training systems, coaching capacity, and evaluation (George & Kincaid, 2008).

**Differences in implementation stages.** The manner in which RtI and SW-PBS implementation efforts are launched can create challenges for districts seeking to integrate academic and behavioral interventions. The evolution of a tiered system of support like SWPBS often reflects diverse funding methods, regulatory issues, and district priorities (Freeman, Perrin et al., 2009) leading to different pathways as MTSS has expanded across districts and schools. SWPBS may begin with a district training for a small number of schools with a long-term plan to increase the number of buildings participating over time. Once SWPBS training systems are established, the district may initiate a tiered RtI model for reading starting with the involvement of elementary schools and later extending these interventions to middle and high schools and across math and other academic areas. Other school districts begin MTSS implementation with academic tiered reading and math interventions with a plan to initiate SWPBS later once school buildings have more experience implementing RtI.

In yet other cases, technical assistance efforts have introduced the two models in a concurrent manner with training systems that address academic and behavior tiered implementation.

**District leadership and support.** Schools implementing MTSS may experience challenges related to district leadership and involvement. This can occur when state or district administrators make a public announcement that all schools will implement MTSS within the next several years without considering the planning and support systems that are necessary for proceeding forward (George & Kincaid, 2008). A newly hired superintendent introduces new initiatives within a district already implementing MTSS, reallocating funding and creating tension as priorities at a district level suddenly shift. District-level involvement and commitment to MTSS can facilitate a school's implementation efforts and improve outcomes when districts provide financial support, engage in joint problem solving, and support long-term systems change (Handler et al., 2007).

**Communication across tiered implementation efforts.** The importance of communication and collaboration across district support personnel is essential for integrated MTSS implementation. Challenges arise when implementation efforts taking place at the district level include academic and behavioral MTSS trainers who are not communicating and collaborating on a regular basis. An integrated approach for implementing MTSS is easier to accomplish with the direct involvement of district leaders who meet regularly to discuss implementation efforts, share data, design professional development, and establish integrated policies and practices for academic and social competence. The next section of this article will describe the role of the district leadership team in the successful integration of MTSS planning.

#### The Role of the District in MTSS

Forming a district leadership team is an important step in MTSS. Individuals who participate in the district leadership team represent key stakeholders including district and school administrators, district support personnel, general and special education teachers, and other identified stakeholders (e.g. union representative, community liaison). It is important for district professionals representing instruction and curriculum, special education, title programs and other federal/state initiatives, student health, safe and drug free schools, school psychology and counseling, drop out prevention, character education, alternative programming, data and information management, evaluation, and multi-cultural and affirmative action to participate in the district planning process. Bus drivers, students, family members, mental health professionals, children and family services, and other community members are involved in the team process although the structures for communicating to larger stakeholder groups vary across districts. For instance, some district teams choose to hold larger forums once or twice a year to share and gather feedback from larger numbers of stakeholders while maintaining a smaller district leadership team that meets on a more frequent basis. Communication with the education board is coordinated by the district team with regular school presentations, data summaries, and information shared with board members throughout the year. In other districts, individual board members are invited to attend leadership meetings.

District leadership teams work together to create a comprehensive plan for confirming annual readiness and commitment of participating schools, coordinating academic and behavioral training, aligning district policies with MTSS, and evaluating the effectiveness of these implementation efforts (George & Kincaid, 2008). A district coordinator guides MTSS, supports school teams, and gathers and summarizes evaluation data. The district coordinator role ensures that district leadership meetings occur regularly, agendas are prepared and meeting minutes recorded, data are collected and summarized across MTSS efforts, and training and technical assistance systems are organized (Sugai et al., 2010). The coordination of academic and behavioral training is based on roles that are established within the district. In some cases, the training for academic and behavioral MTSS are led by different district leaders with an overall MTSS coordinator whose role is to assist in integrating planning efforts.

Layered MTSS trainings. *Team-based trainings* are organized to introduce SWPBS and academic RtI to schools with follow-up events used to support ongoing implementation over two or more years. Team members are selected to represent all of the stakeholders associated with the school. In SWPBS, building level teams participate in the training with representation that includes teachers from each grade level, special and general education staff members, school psychologists, counselors, paraprofessionals, cafeteria and janitorial staff members, mental health professionals, students, family members, mental health and other community representation (Sugai et al., 2010). School teams preparing to implement academic RtI are similar in composition to its district counterpart; these teams include individuals responsible for launching new academic systems change and professionals with expertise in academic areas targeted for intervention.

In some cases, schools establish a unified academic and behavior MTSS team while sending different individual school faculty to participate in SWPBS team training and RtI academic instructional events. These school teams may meet together as a unified MTSS team throughout the year with the goal of integrating both RtI and SWPBS under the umbrella of school improvement. Other schools establish separate academic and behavioral team meetings with individual leaders assigned to each of the meetings as a communication link to share progress occurring within the different tiered models of implementation. In either scenario, the goal of MTSS is to gather information and bring it to all faculty to ensure consensus-driven action planning occurs for academic and behavioral implementation.

SWPBS teams include an internal school coach who assists in facilitating meetings, prompting data collection, reaching out to family members and community, and meeting regularly with the district coordinator and coaches from other schools (Sugai et al., 2010). This coaching network provides an important framework for ensuring data are collected, communicating with the district coordinator, and providing school teams with a way in which to access support when problems arise. *SWPBS coaches receive joint trainings and attend meetings with each other* throughout the year (Freeman, Lohrmann et al., 2009). An overall goal for the district is to align all professional development systems with MTSS using a layered approach that reflects the need for different types and intensity levels of training.

Awareness-level training information is used to introduce elements of MTSS to new school staff, administrators, family members, and the community. Introductory presentations can be videotaped and posted on a district website and Power Point presentations shared using websites to post information for widespread use. Districts can support school teams by offering general introductory information for all new staff transitioning into the district. In-service curriculum should include skillbuilding opportunities reflecting different areas of expertise in order to build internal capacity for MTSS and address natural attrition that occurs within schools. Individual school professionals in need of continuing education credits and other ongoing professional development can learn the skills necessary for interventions supporting students at secondary and tertiary prevention levels. The skills for facilitating intensive and individualized academic and behavioral interventions for students may require a smaller number of school staff members develop a high level of expertise to implement interventions in reading, math, or other academic areas, or to facilitate positive behavior support planning. Academic and behavioral trainers are needed within the district to lead professional development efforts occurring at each of the layers of training. Districts can support schools by establishing curriculum for preparing school staff for key MTSS roles including coach training, introductory information for new school staff joining academic and/or behavioral teams, and for participation in tiers two and three team processes. Technology support at the district level provides access to key data collection systems. The types of technology used will also require ongoing systems for training and technical assistance in order to support school teams and the larger school faculty in learning how to utilize academic and behavioral data systems effectively over time.

District technology resources. Districts establish MTSS data collection systems in different ways. In some districts, the Dynamic Indicators of Basic Early Literacy Skills (DIBELS) serve as a universal screening in reading and math, as well as to monitor student progress over time (Dynamic Measurement Group, 2015). Training and technical assistance is needed to first establish certified trainers available to expand DIBELS across schools and to support ongoing data-based decision making of school staff on an ongoing basis. Another type of evaluation data includes visual summaries of office discipline referrals (ODRs)- written documentation that occurs when a student misbehaves. An external software program can be used to gather, and summarize school-wide ODR patterns and to provide school teams with continual access to visual summaries that are used for data-based decisions during meetings. One example of a software program that provides data for team-based decision making at all prevention tiers is referred to as the School-wide Information System (SWIS, 2015). The SWIS system provides schools with a way in which to organize and review ODRs, monitor a common tier two intervention referred to as Check in/ Check out (Filter et al., 2007), and evaluate individual student problem behavior and positive social behavior at tier three (SWIS, 2015). Districts with technology personnel and resources may decide to design an internal data collection system that will be used within the district for data-based decision making. Data from different types of process and outcome evaluation tools discussed later in this article can be included in district systems to make school improvement information easier for teams to access and use during MTSS self-assessment and action-planning meetings.

A number of districts use websites to organize, promote, and share information about MTSS. A district-level website provides school teams with examples of school-wide expectations and other universal interventions, tools and resources for implementation, and strategies for integrating academic and behavioral MTSS used by exemplary school teams. District websites provide access to detailed MTSS policies and procedures defining fidelity of implementation at each tier and clearly articulating the district's vision and mission. Easy access to technology programs, tools and training materials, newsletters for family and community members, examples of implementation efforts, awareness materials, and a calendar of events are available from the main district website. The degree to which website and technology-based supports are used as tools for MTSS implementation will vary based on the strengths of each district (e.g. access to technology expertise, funding available for development and posting content online, etc.). In summary, the role of the district in systems change is to create an infrastructure for training and technical assistance. The next section of this article describes how implementation science is used to design and maintain a district training and technical assistance infrastructure.

#### Integrating MTSS Efforts Using Implementation Science

Fixsen, Naoom, Blasé, Friedman, and Wallace (2005) describe the stages of implementation that are commonly experienced by implementers of new practices such as MTSS. The *exploration stage* occurs when a district team has not yet started training and technical assistance and is still assessing the readiness of schools to move forward with an implementation effort. The *installation stage* involves the active selection of a new program, development of performance assessment processes, initial training efforts and securing of resources. The *initial implementation stage* reflects the early steps taken to introduce a new effort and often involves a learning curve as districts adjust and integrate new changes into daily work. *Full implementation* is achieved when over half of the school personnel targeted to change their practices do so with a high level of performance fidelity. Districts implementing MTSS may be experiencing different stages of implementation while implementing academic and behavioral interventions depending upon how the different tiered models were introduced.

#### Competency, Organizational, and Leadership Drivers

Fixsen, Blasé, Naoom, and Wallace (2009) identified core components that are commonly associated with successful implementation efforts irrespective of whether a project is implemented in education, mental health, juvenile justice, or any other human service setting. These core components are referred to as implementation drivers (Metz & Bartley, 2012). The three major drivers: competency, organization, and leadership, are used to outline the essential components as they relate to academic and behavioral MTSS.

#### **Competency Drivers**

Competency drivers are the activities, mechanisms, and resources that are needed to improve the necessary knowledge and skills of teachers and administrators implementing MTSS (Fixsen, Blasé, Naoom, & Van Dyke, 2010). The four competency drivers include selection, training, coaching, and performance assessment (see Table 1).

Competency Drivers	
Performance Assessment (Fidelity of Implementation)	<ul> <li>Fidelity self-assessment tools for teams</li> <li>Observational tools to monitor performance</li> <li>Formal evaluation processes (e.g., SET, BAT, SWET-R, ISSET, etc.)</li> </ul>
Selection	<ul> <li>Selection of staff (coordinators, coaches, trainers, practitioners)</li> <li>Readiness assessment tools and processes</li> <li>Administrative buy-in and resource availability</li> </ul>
Training	<ul> <li>Layered training systems for all roles</li> <li>Building and maintaining internal expertise</li> <li>Addressing attrition proactively</li> </ul>
Coaching	<ul> <li>District coordinators</li> <li>Internal and external coaching</li> <li>Supporting stages of development and experience</li> </ul>
Organization Drivers	
System Intervention	<ul> <li>Internal and external partnerships (e.g., mental health agencies)</li> <li>Funding</li> <li>Human resources and organizational systems</li> <li>Alignment with shifting federal, state, district, community factors</li> </ul>
Facilitative Administration	<ul> <li>Resource allocation for interventions</li> <li>Infrastructure development (e.g., master schedule)</li> <li>Barriers for successful implementation eliminated/ reduced</li> </ul>
Decision Support Data Systems	<ul> <li>Data used for decision making at all levels Universal screening (e.g., DIBELS, SWIS, SSBD)</li> <li>Diagnostics (e.g., FBA, DIBELS Deep)</li> <li>Progress monitoring (e.g., DIBELS)</li> <li>Process and outcome evaluation- all tiers</li> <li>Self-assessment strategies for district/school improvement</li> </ul>
Leadership Drivers	
Technical	<ul> <li>Traditional management and accountability skills</li> <li>Integrated academic/behavior data reviews for problem solving</li> <li>Formative evaluation with action planning</li> </ul>
Adaptive	<ul> <li>Complex situations that are not easily identified or solved</li> <li>Focus is on identifying sources of conflict resulting from diverse cultural views and opinions</li> <li>Need for development of consensus building and group learning experiences</li> </ul>

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Bertram et al., 2011; Fixsen et al., 2009; Metz & Bartley, 2012; NIRN, 2015
**Selection.** The different MTSS roles (district coordinator, coach, academic specialist) require a variety of skills and experiences. While some of these skills are taught as part of inservice training or provided in preservice preparation, other strengths may be inherent within a person's characteristics (problem solving, sensitivity to others, empathy, confidence speaking with peers). Some individuals will be well suited to become coaches while others may feel uncomfortable in this type of role. Larger systems issues related to selection include the extent to which funding is available to address the need for intensive and advanced academic and behavioral expertise (Fixsen et al., 2009). It is not uncommon to hear school professionals indicate that there is a need for behavioral expertise, reading specialists, etc. Districts will invest in a significant amount of training for professionals making selection issues such as willingness, commitment, and the possibility of attrition key considerations.

In fact, staff selection involves assessment of different levels and types of commitment to MTSS. District readiness assessments are used by trainers before beginning MTSS to evaluate administrator commitment and assess whether a core group of leaders within the district understand that systems change will require a long-term commitment of time and resources (Handler et al., 2007). When schools apply to participate in MTSS, tools are also used to evaluate school administrator buy-in, school faculty interest in implementation, and resources that are available for implementation (George & Kincaid, 2008). The commitment to MTSS implementation by the school administrator is believed to be a key success factor for systems change (Fullan, 2005; Sindelar, Shearer, Yendol-Hoppey, & Liebert, 2006).

**Training.** Training opportunities are designed to promote the knowledge and skills aligned with MTSS. Successful implementation of MTSS requires behavior change of school faculty, staff, administrators, coaches, and other individuals involved in implementation efforts (Bertram, Blasé, Shern, Shea, & Fixsen, 2011). The different layers of MTSS training described earlier address each person's role within MTSS and ensure internal capacity building occurs. Introductory and team-based training, coaching and mentoring provided to school staff members who support team-based action planning, and higher level training and capacity building to establish academic and behavioral expertise at tiers two and three are included in the overall training plans. A central theme in training is the use of data as part of an ongoing cycle of improvement and the development of increasingly intense levels of support.

**Coaching.** New information can be shared in workshops and training events to improve conceptual knowledge and understanding. School faculty need coaching systems to take the next step in applying conceptual knowledge by actively employing new skills, engaging in reflective dialogue with someone with experience using new strategies, and embedding these skills into everyday practice (Joyce & Showers, 2002). Coaching is used to support a number of roles in MTSS including: individuals selected as coaches working with school teams, district coordinators, team members implementing MTSS, professionals learning to facilitate specific academic expertise in reading math, and other areas at tiers two and three, and individuals facilitating and coordinating targeted and individualized positive behavior support.

**Performance assessment.** Performance assessment is used to evaluate the fidelity of implementation practices that occur in MTSS. These fidelity of implementation assessments evaluate the degree to which school buildings are able to imple-

ment academic RtI, SWPBS, or an integrated combination of MTSS. Trainers use an array of tools to assess fidelity of implementation. These tools range from team self-assessments, walk-through observation tools, and performance evaluations that are conducted by teams internal and external to each school. The Schoolwide Evaluation Tool (Horner et al., 2004), the Benchmarks of Quality (BoQ) (Kincaid, Childs, & George, 2005), the Benchmarks of Advanced Tiers (BAT) (Anderson et al., 2009) and the Independent Student Systems Evaluation Tool (ISSET) (Anderson et al., 2009) are used to evaluate the performance of schools at different tiers. A new tool, the Systemwide Evaluation Tool for Reading (SWET-R), was developed by trainers in one state to evaluate the strength of Tier I implementation related to literacy practices (Martin, Huth, & Harms, 2013). Feedback from these evaluation methods helps to inform future action plans and intervention efforts.

# **Organization Drivers**

Organization drivers are the core building blocks that assist district teams in establishing an infrastructure that is needed to support practice and implement systems change (Metz & Bartley, 2012). These building blocks are used to provide consistent monitoring and feedback communication loops for the sharing information in a transparent manner (Bertram et al., 2011). There are three organization drivers including decision-support data systems, facilitative administration, and systems interventions.

**Decision-support data systems.** The MTSS problem-solving process is based on the availability of reliable, current data that are accessible at the classroom, building, and district level. Schools rely on data management systems to collect and summarize data for decision making purposes. Examples mentioned earlier in this article include DIBELS for academics and the School-wide Information System, or SWIS, for behavior (SWIS, 2015). Data are reviewed by school teams at least monthly for all students using a standardized problem-solving process in conjunction with cut points and/or benchmarks to determine responsiveness to tier one supports and to identify students in need of targeted or individual support. Similarly, the data are reviewed on a more frequent cycle to determine responsiveness to interventions at tier two and three.

SWPBS, data including ODRs, suspensions, and other data sources (e.g. Schoolwide Information System; SWIS, 2015), the Systematic Screening of Behavior Disorders (SSBD) (Walker, Severson &, Feil, 2012), and the Classroom Check-up (CCU) (Reinke, Herman, & Sprick, 2011) are used to assess the effectiveness of the existing behavior systems and instruction as well as to identify student needs. At the tier two level, data are collected for targeted interventions, such as Check-In and Check-Out (Filter, et al., 2007), Check and Connect (Christenson et al., 2008) and social skill instruction. At tier three, individual student data gathered as a baseline during functional behavioral assessment and intervention outcome data are used for decision making (for more information about tier 3 behavioral interventions, please refer to Brown, Anderson, & De Pry, 2015).

**Facilitative administration.** Facilitative administration drives the implementation process keeping staff organized and focused on targeted outcomes (Fixsen et al., 2009). The school principal can be instrumental in allowing systems changes

such as altering existing procedures and providing time for grade level or student intervention teams to meet and review data and engage in problem solving. The principal, the internal coach, and other individuals providing intensive facilitation of academic and behavioral strategies at tiers two and three create part of the infrastructure necessary to support the work of the leadership team and school personnel. Resources are directed to coaching or training systems when issues arise in order to maintain effective implementation. In summary, facilitative administration refers to the actions taken by implementers to ensure MTSS systems are working effectively and feedback communication loops are used to identify problems and improve the training infrastructure.

**Systems interventions.** Changes and shifts within federal, state, districts, and community agencies can impact culture, policies and political environments in which MTSS is implemented (NIRN, 2015). Systems interventions involve establishing partnerships within the immediate and broader systems in order to acquire the external funds, human resources, and organizational systems needed to support MTSS (Metz, Blasé, & Bowie, 2007). For instance implementation efforts may include the active involvement of local mental health professionals at all three tiers. A district team may learn that state funding cutbacks have occurred making it more difficult for the local mental health center to participate in MTSS. Systems interventions will be needed to adjust to these changes in funding and to address the constantly shifting political and cultural environment within the state.

In some cases, systems interventions are used to continue expanding MTSS implementation. The absence of district level leadership in MTSS can be problematic when schools are independently implementing tiered models for reading and/or behavior. Specific policies, procedures and regulations may exist within the district that serve as barriers to effective implementation at the building level. In this situation, school teams within the district may engage in a systems intervention by working together to create plans for meeting with district personnel to share related data, describe progress being made, and encourage adoption of district-wide MTSS. Once these meetings are completed, the teams may present to the education board in order to start increasing awareness and political support for MTSS.

## Leadership Drivers

Heifetz and Laurie (1997) refer to *technical challenges* and *adaptive challenges* that emerge when transforming systems and creating change. These two types of drivers address different types of problem solving that leaders use in systems change. It is important to distinguish between the two in order to provide the right type of leadership strategy when problem solving at the district level.

**Technical challenges.** Technical challenges are more easily identified and can be ameliorated with active facilitation of the essential elements of MTSS. For example, when performance assessments indicate deficits that are apparent within MTSS implementation efforts, the leadership team can develop and execute an action plan to address these challenges. When technical challenges are encountered, the problem can be defined without ambiguity and there is a clear path to the solution.

Adaptive challenges. Adaptive challenges may be more difficult to recognize and are typically *not* resolved through traditional approaches. An issue requiring adaptive leadership strategies occurs when a district encounters resistance to implement MTSS from individuals within a school. Resistance can emerge when staff members are asked to change the way they are doing things or to take on new responsibilities. Adaptive leadership involves reaching out to these faculty members, acknowledging the discomfort brought on by change, and working through the issues together to resolve problems. Once a leader has improved the climate and faculty are ready to take on new changes, technical solutions are employed in order to move forward.

One of the goals within systems change is to establish a culture that supports MTSS implementation where technical leadership challenges are more common because individuals within a system share a common vision and culture of change. Effective leaders must be able to identify whether challenges that arise require technical or adaptive leadership strategies. One of the more common mistakes made by leaders facilitating systems change is to apply technical leadership skills under conditions that require adaptive leadership (Heifetz & Laurie, 1997).

## **Integrating MTSS Efforts**

There are two important types of integration that must be considered as districts implement MTSS. The first type of integration involves the implementation of organization, competency, and leadership drivers (Fixsen et al., 2009). The second type of integration was mentioned earlier as part of the discussion related to aligning RtI academic interventions and SWPBS.

Integrating drivers. The core implementation drivers described by Fixsen and his colleagues must be considered within the context of complex and ever-changing variables common in districts and schools. Challenges arise when district and school teams allow implementation drivers to occur in isolation (NIRN, 201). Two important communication systems, or feedback loops, include staff performance evaluation and decision-support data systems. Attention to feedback communication loops help district teams evaluate important information that, when used to make modifications and adjustments, help to keep MTSS efforts integrated (Fixsen et al., 2009). For instance, a team may become aware from performance assessments that school personnel are struggling to master certain elements of a new reading intervention. This information is used to improve the team-based training curriculum related to reading. However, the change made to the curriculum may require modifications be made to a performance assessment tool in order to evaluate new skills added to the training. Disagreements occurring among implementers about altering the performance assessment tool may require adaptive leadership strategies be used in order to reach consensus and resolution. District leaders will engage in further dialogue and group learning processes to assist those involved in implementation efforts to better understand why changes in data systems are needed.

**Integrating MTSS models.** The same type of isolation and fragmentation that occurs when drivers are not integrated is apparent when RtI academic models and SWPBS are implemented without careful attention to alignment and integration. Implementation can become fragmented when academic and behavioral MTSS teams or trainers are not communicating. Over time, the benefits of both tiered models will be diminished. Allocation of training and staff time may be used for redun-

dant purposes when a more integrated approach could be used to teach key MTSS skills. Conflict among implementers can arise requiring adaptive leadership due to perceived competition over limited MTSS resources.

District teams that start integrating academic and behavioral efforts can do so at any phase of implementation. Teams that do begin integrating MTSS efforts early have more time and opportunity to try new strategies and build on implementation efforts. Fixsen, Blasé, Naoom, and Duda (2013) have recently shared an implementation drivers assessment tool that includes a case study example of data collected from three school districts implementing MTSS. The assessment was completed by individuals who were directly involved in managing the implementation efforts within each district. The information was gathered using the drivers assessment tool. This assessment provides a way for district teams to assess the perceptions of different individuals involved in MTSS. Teams can utilize these data by integrating review processes within communication feedback loops that have been established as part of organization and competency drivers (e.g. meetings to review data for decision making and performance assessments at different levels in the district and in schools). This type of tool can be helpful as a part of the district leadership evaluation process and when shared with implementers to facilitate further integration of MTSS.

#### CONCLUSION

School teams implementing MTSS independently without district-level support may encounter barriers that make it more difficult to achieve significant and lasting change (Handler et al., 2007). District leadership can contribute to the sustained MTSS practices of its schools by establishing a training and technical assistance infrastructure, providing schools with access to data-based decision making systems, creating communication feedback systems for sharing information, and articulating a consensus-based vision and mission for MTSS. The implementation science framework described by Fixsen and his colleagues (2009) provides district teams with guidelines for integrating tiered implementation models and a problem-solving system that can be used to expand MTSS as other evidence-based practices are adopted.

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# Story Grammar Instruction with Third and Fifth Grade Students with Learning Disabilities and Other Struggling Readers

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Reading comprehension is difficult for many students with disabilities, including those with specific learning disabilities. However these students can be explicitly taught strategies to improve their comprehension abilities. One such strategy is teaching students story grammar in order to provide them with a framework for understanding narrative text. In this article, we present the results of a multiple-baseline across groups study conducted with third and fifth grade students. All students improved from baseline to posttest and maintenance scores remained above baseline. Nonoverlap of All Points (NAP) data demonstrates very low overlap between baseline points and intervention points for all students. Results indicated that story grammar interventions might improve reading outcomes for students with disabilities in grades 3-5.

Keywords: Learning Disabilities, Reading Comprehension, Story Grammar

## INTRODUCTION

Students identified with specific learning disabilities (LD) often experience difficulties in reading, particularly in reading comprehension (Gersten, Fuchs, Williams, & Baker, 2001; Solis et al., 2012). This leads to poor performance on high and low stakes tests, such as the National Assessment of Educational Progress (NAEP) and typical classroom assessments. To illustrate, NAEP results from the 2013 reading test show that 69% of all students with disabilities were at the *Below Basic* level in fourth grade (U.S. Department of Education, 2013). Twenty percent of students with disabilities were at the *Basic* level, while only 9% were *Proficient* and a mere 2% were *Advanced* (ebd.). Many students with learning disabilities typically do not monitor their own comprehension or use comprehension strategies while reading (Gersten et al., 2001). Further, students with learning disabilities may process information inefficiently, often not engaging in strategic reading or metacognition. In addition, students with LD also display difficulties with text structure and how text is organized (ebd.).

Although many students with LD struggle with reading (Solis et al., 2012), it is possible to explicitly teach them comprehension strategies in order to improve their ability to comprehend text (Edmonds et al., 2009; Gersten et al., 2001; Scammacca, Roberts, Vaughn, & Stuebing, 2013). Gersten and colleagues (2001) conducted a review and found that successful strategies for reading narrative text in-

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clude comprehension monitoring, story grammar, and peer-mediated instruction. In a synthesis, Edmonds et al. (2009) found that questioning, summarizing, graphic organizers, finding the main idea, and story maps can all improve reading comprehension. Explicitly teaching story grammar is another way to improve reading comprehension for students with learning disabilities (Edmonds et al., 2009; Gersten et al., 2001; Mahdari & Tensfeldt, 2013; Stetter & Hughes, 2010). Stetter and Hughes (2010) conducted a review of studies examining story grammar interventions and found this to be an effective technique for students with learning disabilities and other struggling readers. In addition, in a recent review of interventions for students with reading difficulties, Scammacca and colleagues (2013) found an overall effect size of 0.74 for reading comprehension interventions, indicating that comprehension can be improved for all struggling readers.

#### Story Grammar Research

Instruction in story grammar is an effective way to improve reading comprehension for students with LD (Boulineau, Fore, Hagan-Burke, & Burke, 2004; Idol, 1987; Idol & Croll, 1987; Stagliano & Boon, 2009). This literature review will examine research conducted with both elementary and secondary students, although the current study addresses the needs of students at an upper elementary level. To illustrate, Idol (1987) and Idol and Croll (1987) conducted early research that examined story mapping and its effectiveness in improving comprehension in struggling readers. Story mapping is simply filling out story elements on a graphic organizer and has been found to be effective across many studies (Mahdari & Tensfeldt, 2013; Stetter & Hughes, 2010). In these studies (Idol, 1987; Idol & Croll, 1987), students were given a story map that had blanks for setting (characters, time, place), problem, goal, action, and outcomes. Teachers taught the story map using a three-step process of modeling, guided practice, and independent practice. Teachers began by modeling filling out the story map on an overhead while students copied down the answers on their own story maps. During the guided practice phase, students filled out the story map with teacher support and during independent practice, students read the story and completed the story map on their own. Story maps were filled out while reading and then taken away before answering questions. In both studies, researchers report significant gains on researcher-made comprehension tests for general education students, as well as students with LD at the elementary school level. While the majority of students improved during the intervention phase, mixed results were reported during the maintenance phase and on transfer tasks (Idol, 1987; Idol & Croll, 1987). The mixed results during maintenance may be due to the fact that students did not have time to learn enough about story grammar. In addition, these studies conducted maintenance measures immediately after intervention, so there is no evidence of any long-terms effects of the story grammar strategy. Although these early studies provide a foundation for subsequent work on instruction in story grammar, further work is needed to demonstrate the impact of this instruction.

Boulineau and colleagues (2004) replicated the work of Idol with six elementary school students with LD. Students showed significant improvement when identifying story elements from a four-day baseline period to a six-day intervention period. Although all students increased the number of correct story elements that they were able to identify during the intervention period, only three students maintained gains during a maintenance phase that immediately followed the intervention. Stagliano and Boon (2009) followed a similar procedure using expository text. Students were taught how to use a story map using a modeling, guided practice, independent practice model. In this study, three students improved from baseline to intervention, with two maintaining gains when measured two weeks later. These studies demonstrate the effectiveness of explicitly teaching a story grammar strategy to students with LD and other struggling readers. However, difficulties with skill maintenance may indicate a longer intervention period is needed for students to learn to effectively use story grammar.

Instruction in story grammar is not only effective for students at the elementary level, but it is also effective as an intervention for at improving reading comprehension for students with disabilities and struggling readers at the secondary level (Dimino, Gersten, Carnine, & Blake, 1990; Gardil and Jitendra, 1999; Gurney, Gersten, Dimino, & Carnine, 1990; Onachukwu, Boon, Fore III, & Bender, 2007). Gurney et al. (1990) modified the story grammar techniques used by Idol and Croll (1987) and utilized them with seven high school students with LD. Similar story elements were taught, but with more depth, following a modeling-guided practiceindependent practice model. Although students who received the story grammar instruction showed improvements on the story grammar questions, this study did not include maintenance measures, so it is unclear if gains were maintained. Dimino and colleagues (1990) also implemented story grammar instruction with a group of high school students consisting of those with disabilities and others in two Basic English classes. Students in the story grammar group performed significantly better on both story grammar and basal literature questions (explicit questions pulled from the basal reader) than those in a basal instruction group. Their scores were strong at posttest, but they decreased slightly during a two-week maintenance probe.

Gardil and Jitendra (1999) extended this work to the study of six middle school students with learning disabilities. Students were taught during a 14 to 20 week intervention period and maintenance was conducted two weeks after completion of the study. All students showed improvements on story grammar questions from baseline to intervention, but there were mixed results on the basal questions. Students' scores decreased slightly at maintenance, but they were still an increase above baseline scores. Onachukwu, Boon, Fore III, and Bender (2007) conducted a 23-day study with three eighth grade students where students were taught to identify story elements and complete a story map. Results show that all three of the students increased during intervention and then decreased slightly at a two-week maintenance probe for both overall comprehension and identification of story elements. These studies both included an extended intervention period, as well as a maintenance measure two weeks after the conclusion of the intervention. In each of the reviewed studies at both the elementary and secondary level, instruction in story grammar improved the comprehension skills of students with learning disabilities and other struggling readers. Stetter and Hughes (2010) also found in a review that story grammar interventions improve comprehension outcomes across ages and grades and that modeling the strategy and using a story map both result in improved comprehension outcomes for students with learning disabilities and struggling readers.

#### Rationale for Study

Although research has demonstrated the effectiveness of a story grammar strategy, more research is needed. While previous studies of story grammar interventions have reported gains in reading comprehension outcomes (Boulineau et al., 2004; Idol, 1987; Idol & Croll, 1987; Stagliano & Boon, 2009), more information is needed about longer time periods of implementation and the potential for transfer of effects over time. Of the four studies conducted at an elementary school level, three included maintenance measures immediately after the conclusion of the study (Boulineau et al., 2004; Idol, 1987; Idol & Croll, 1987), and only one conducted maintenance two weeks after the intervention period (Stagliano & Boon, 2009). This study will examine maintenance two weeks after the conclusion of the study in order to determine if gains are maintained.

Much of the research in story grammar addressed the needs of students with learning disabilities, but several of these studies also included students with other disabilities and struggling readers (Dimino et al., 1990; Idol, 1987; Idol & Croll, 1987). Struggling readers were typically defined as students who had difficulty answering comprehension questions (Idol, 1987) or those who scored poorly on standardized reading comprehension measures (Dimino et al., 1990). NAEP scores indicate poor reading performance for all students with disabilities, not just those with learning disabilities. Therefore, this study did not limit inclusion to only students with learning disabilities, but instead allowed the inclusion of students with other disability categories or those who were found to be struggling readers according to standardized comprehension measures. The purpose of this study is to examine effects of a story grammar comprehension strategy for students identified with LD or as struggling readers in grades 3 and 5. In addition, the aim of the study is to replicate previous research conducted on story grammar interventions for longer durations of time and with maintenance measures to further investigate the potential efficacy of the story grammar intervention by answering the following research question(s): 1.) To what extent does a story grammar intervention with students in grades 3-5 with LD impact reading comprehension outcomes? 2.) To what extent are these gains maintained over time?

#### Method

#### Setting

This study was conducted at a Title 1 elementary school in a mid-Atlantic state that goes from pre-kindergarten to fifth grade. The percentages of racial/ethnic groups at this school are 54.9% White, 24.8% Black, 17.6% Hispanic, and 2.7% Other. In this school, 12.7% of students are considered English Language Learner (ELL), 48.4% receive free or reduced lunch, and 14.1% are students with disabilities. All sessions took place in a special education resource room near the participants' general education classrooms. This classroom was chosen because seven of the eight students worked in this resource room during other periods of the day, so they were comfortable in it. The sessions took place in the morning when there were minimal distractions in the classroom. Typically there were one to three adults and one other student in the classroom, so the room was quiet for the sessions.

# Participants

A total of eight students in grades three (n = 6) and five (n = 2) participated in the study. All participants were either identified with disabilities (i.e., Specific Learning Disability, Other Health Impairment, Speech Language Impairment) or identified as struggling readers. All students were found to be struggling readers based on scores on the Qualitative Reading Inventory (Leslie & Caldwell, 2011), as well as teacher and administrator recommendations. Students with disabilities were found eligible based on federal criteria for disabilities. Students were also administered the Reading Comprehension Composite from the Woodcock-Johnson Diagnostic Reading Battery (Woodcock, Mather, & Schrank, 2004) to provide a standardized measure of reading level. These composite percentile scores are reported in the following paragraphs. See Table 1 for specific demographic characteristics. In order to qualify for this study, students needed to be reading at least one grade level below on the QRI, score below the 50<sup>th</sup> percentile on the WJ-DRB, and have a teacher recommendation. Parents were informed of the study and that their children would receive additional support in reading during the day and parents had the opportunity to opt out if they did not wish for their child to participate.

	Gender	Race	Age	Grade	Disability Category	DRB Score SS (Percentile)
Adriana	F	African- American	10	5th	Specific Learning Disability	80 (9)
Charlie	М	White	11	5th	None. Struggling reader.	86 (18)
Shondra	F	African- American	9	3rd	Other Health Impairment	87 (20)
Maria	F	Hispanic	8	3rd	Specific Learning Disability	91 (28)
Antoine	М	African- American	9	3rd	Speech Language Impairment	75 (5)
RaQuan	М	African- American	8	3rd	Other Health Impairment	88 (22)
Ann	F	White	8	3rd	Specific Learning Disability	97 (43)
Ricky	М	Hispanic	8	3rd	None. English Language Learner	94 (34)

<i>Table 1.</i> Participant Characteristics	Table 1.	<b>Participant</b>	Characteristics
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Demographics for the third grade groups were 50% female and 50% male. Three of the students were African American, two of the students were Hispanic, and one was White. Five of the students were identified with a disability (Specific Learning Disability, Other Health Impairment, or Speech-Language Impairment) and one received English as a Second Language (ESOL) services (Table 1). The third grade students were all reading at a second grade instructional level according to the QRI and teacher reports. The third grade students were split into two groups of three students each (names reported with WJ-DRB percentile scores) in order to keep the groups small and they became groups 2 (Shondra, 20<sup>th</sup> percentile; Maria, 28<sup>th</sup> percentile; and Antoine, 5<sup>th</sup> percentile) and 3 (RaQuan, 22<sup>nd</sup> percentile; Ann, 43<sup>rd</sup> percentile; and Ricky, 34<sup>th</sup> percentile) in the study.

The fifth grade group included one African American female and one White male student. The female student was identified as a student with a specific learning disability (SLD), while the classroom teacher nominated the male, based on low reading performance during class assignments. The students in the fifth grade group both demonstrated adequate decoding and fluency, but significant deficits in reading comprehension according to the QRI (two grade levels below) and teacher reports. Both Adriana (9<sup>th</sup> percentile) and Charlie (18<sup>th</sup> percentile) were reading at a third grade instructional level due to poor comprehension scores.

## **Research Design**

This study utilized a multiple-baseline across groups design. Group 1 had two fifth grade students, group 2 had three third grade students, and group 3 had three third grade students.

## Materials

**Story maps.** Story maps were modeled after the ones used by Idol (1987) and Idol and Croll (1987). Students were asked to fill in blanks for character, setting (time, place), problem, solution, and main events. Figure 1 shows a story map template.

**Passages.** Reading passages were selected from the popular website, www. readinga-z.com, which has leveled stories. Based on QRI and DRB scores, the third grade groups were given M and N level passages (corresponding to second grade) and the fifth grade groups were given P and Q passages (corresponding to third grade). These passages were selected because all students were reading at the chapter book level, but complete stories were needed for the intervention. If chapters of a longer book were used, individual chapters may not include new characters and settings and do not necessarily have a problem and a solution. Readinga-z has complete stories that are leveled and are short enough to be completed during one session, which allowed for a new story to be used in every session. A variety of narrative stories were used, including typical fiction stories, as well as some fairy tales and folktales. Stories were screened to ensure that they contained all of the necessary narrative components prior to selecting them for inclusion. An example of a story can be seen at http://www.readinga-z.com/books/leveled-books/book/?id=1793.

#### Figure 1. Story map template.



#### Measures

Woodcock-Johnson Diagnostic Reading Battery (WJ-DRB) Reading Comprehension Composite (Woodcock, Mather, & Schrank, 2004). The Reading Comprehension composite consists of two subtests; passage comprehension and reading vocabulary that are individually administered. The Passage Comprehension subtest has 47 items increasing in difficulty. First students have to point to a picture that matches a word, but as difficulty increases they have to provide a missing word in a sentence or passage (cloze procedure). The Reading Vocabulary subtest is broken into three sections; synonyms (26 items), antonyms (26 items), and analogies (21 items). In the synonym section, students read words aloud and had to provide a word that meant the same thing. In the antonym section, students read words aloud and had to provide a word that meant the opposite thing. In the analogy section, students read three words and had to provide a fourth word that fit the relationship. In each of these, items are arranged in increasing difficulty. Reliability as reported by the test developers is 0.88 for Passage Comprehension and 0.90 for Reading Vocabulary, with a reliability score of 0.92 for the Reading Comprehension composite.

Researcher-developed comprehension measure. This measure included 10 open-ended comprehension questions assessing knowledge of story elements (see Figure 2). The first two questions addressed the setting and asked students to identify where and when the story took place. The third and fourth questions addressed characters and asked students to identify *major* and *minor* characters. The next four questions examined problem and solution and asked students to *identify the prob*lem, to discuss how the character tried to solve the problem, to explain if the problem was hard to solve, and finally to state whether it was solved. The final two questions examined important events by asking students to *identify an important event* and to explain why it was important. Students completed measures independently. All ten items were given 1 point if they were correct and 0 points if they were incorrect. No partial credit was given. This measure was based on work from Idol (1987) and Idol and Croll (1987) and included the same eight initial questions about the characters, setting, problem, and solution. Questions 9 and 10 were changed to assess student's ability to look at important events in the story. A reliability analysis was conducted on the 10 questions using data from each session and Cronbach's alpha was found to be .84 for the measure.

#### Intervention

In the intervention phase, students received instruction from the first author on story grammar elements (character, setting, problem, solution, main events). They were taught how to identify and name these elements, as well as how to use understanding of narrative structure to approach and comprehend a variety of narrative texts. Each group met with the researcher twice a week for thirty-minute sessions. During these sessions, they practiced identifying each of the elements in the story using a new story for each session. The intervention began with a teacher-modeling phase, progressed to guided practice, and moved towards independent practice on identifying these elements. Students received four 30-minute modeling sessions, four 30-minute guided practice sessions, and two 30-minute independent practice sessions. Each session included two to three minutes of introduction to let students know the plan for that day's session and answer any initial questions, 15 to 20 minutes of story reading and discussion of the story grammar elements while filling out the story map (approximately five minutes on character and setting, ten minutes on problem and solution, and five minutes on important events), and five to ten minutes spent answering comprehension questions. During the sessions, students were given the story and story map to complete. After instruction, the stories and completed

story maps were taken away and the probes (10 question, paper and pencil, comprehension quizzes) were given to each student to complete independently. Students had as much time as they needed and typically took between five and ten minutes.

#### Figure 2. Sample comprehension questions.

Comprehension Questions

- 1. Where did this story take place?
- 2. When did this story take place?
- 3. Who were the main characters in the story?
- 4. Were there any other important characters in the story? Who?
- 5. What was the problem in the story?
- 6. How did try to solve the problem?
- 7. Was it hard to solve the problem? Explain.
- 8. Was the problem solved? If it was solved, how was it solved?
- 9. What is one important event in the story?
  - 10. Why was this event important?

The ten intervention sessions began with four modeling sessions. During these sessions, the researcher read the story aloud to the group of students and filled out the story map as she read. The researcher paused throughout the story and modeled a think aloud process to identify story elements (Dimino et al., 1990). While reading each page, as story elements arose in story, such as new characters being introduced or the setting being discussed, the researcher stopped and discussed the element. This included defining the story element, identifying the story element, and writing it down on the story map. Students followed along and filled out their own story map with the same information and phrasing as the researcher. Students were taught to look for information about character and setting at the beginning of the story, as well as recording new characters as they appeared in the story. Setting was defined as time (past, present, future) and place and the students were shown how to determine the setting early in the story. Problem and solution were taught together. Problem was defined as the "big" problem in the story. It was explained that the problem did not occur over one page, but instead was a larger issue that needed to be solved and typically was introduced over several pages. The problem and solution also were required to tie together and the solution needed to solve the problem. After the story was complete, the final step was to identify several important events, defined as major things that happened in the story.

The guided practice phase consisted of the students taking turns reading the story out loud and discussing the story elements with support from the researcher. Students took turns reading and as they read, they stopped and discussed story elements as they came across them, writing them down as they had learned during the modeling phase. Students worked together to prompt each other and point out when story elements arose that should be written down. Students worked in small groups with researcher support over four sessions.

Students then completed two sessions of independent practice. During these sessions, they read the story to themselves and completed the story map. However, the researcher walked around and supported the students when filling out the story map by answering questions and prompting responses. In addition, students were stopped every two to three pages to orally compare story maps and share answers.

**Posttest and maintenance**. After the ten intervention sessions, the researcher came in two days after the final session to give a posttest. For the posttest, students were given the story and story map to complete independently. After they turned these in, they were given the comprehension measure. Maintenance was conducted two weeks after the conclusion of the intervention for all groups and followed the same procedure as the posttest. Group 2 also received the maintenance measure four weeks after intervention, but due to snow days and the end-of-year benchmark tests, the other groups were not able to complete this measure.

## Data Collection

On the first day of the baseline phase, the researcher started by reading through each of the 10 questions with students and explaining them, as well as answering any questions that the students had. Students were then given a short, narrative story to read independently at their instructional level. After they completed the story, they were given the comprehension probe and asked to answer the questions independently.

During all three phases of the intervention (modeling, guided practice, and independent practice) students read the story with varying degrees of guidance and support and filled out a story map while reading. The stories and story maps were then removed and students completed the reading measure independently. For posttest and maintenance, students read the story independently while filling out the story map, these were once again removed, and students completed the measure independently.

# Data Analysis

On the researcher created measures, students received 1 point for a correct answer and 0 points for an incorrect answer. Rubrics were created for each story to determine correct and incorrect answers. Once these measures were scored, the raw scores were used to calculate percentages on the researcher created comprehension measures. These results were graphed in order to visually inspect growth between phases, according to trend, level, and immediacy of effect. Students have individual graphs demonstrating their growth, as well as graphs that show group means. In addition to visual analysis, graphs were assessed using a nonparametric measure called Nonoverlap of All Pairs (NAP; Parker & Vannest, 2009). Scruggs and Mastropieri (2013) describe nonparametric tests as a way to compare outcomes in single subject studies. These measures are more robust than comparisons of means or medians across phases (Parker, Vannest, & Davis, 2011) and are a more standardized measure than visual analysis (Scruggs & Mastropieri, 2013). Although there are several nonparametric methods available, NAP was chosen due to its high levels of agreement with visual analysis (Parker & Vannest, 2009). In this method, the overlap is examined for every set of pairs between the phases. This was calculated in two ways; first by comparing every baseline point with every intervention point and then by comparing every baseline point with every following point (intervention, posttest, and maintenance).

**Treatment fidelity.** A graduate student not associated with the study observed ten percent of the intervention sessions and scored them based on adherence to whether instruction was implemented as intended. She used a checklist to look for the presence or absence of ten different lesson components, including introducing the lesson and describing the purpose, discussing all of the story elements, concluding the lesson addressed all of the story grammar components and included some elements of explicit instruction. After observing 10% of the intervention sessions from each group, treatment fidelity was found to be 100%.

**Interscorer agreement.** A graduate student blind to the conditions of the study performed interscorer agreement checks on twenty percent of the stories as recommended by the What Works Clearinghouse (WWC) standards for Single Case Design (Kratochwill et al., 2010). This graduate student independently read the stories, created an answer rubric, and scored student responses. Initial agreement was 93% and this increased to 96% after discussion and resolving discrepancies. These levels meet the threshold of 80% - 90% agreement set by WWC (Kratochwill et al., 2010).

## RESULTS

Figures 3 shows a graph of the results from Group 1 (Adriana and Charlie), Figure 4 shows a graph of the results from Group 2 (Shondra, Maria, and Antoine). Figure 5 shows a graph of the results from Group 3 (RaQuan, Ann, and Ricky). Figure 6 shows the multiple-baseline across groups graph that averages scores for students in each group.















Figure 6. Average percent correct for each group.

#### Group One Results

In group 1, Adriana had a mean baseline score of 26.7% and showed a decreasing trend. Charlie had a mean baseline score of 40% and showed a decreasing trend as well (Figure 3). The average for this group showed low level and decreasing trend, with some variability (Figure 6). According to visual analysis, both Adriana and Charlie demonstrated immediate improvement when the intervention was introduced (Figure 3). Their trend lines during intervention were at a high level and either consistently high (Adriana) or steadily increasing (Charlie). Both Adriana and Charlie demonstrated high scores at posttest that were on the same level as the final intervention point. Charlie continued to increase at maintenance, and although Adriana showed a decrease, her maintenance point was still above baseline levels. The averages for this group (Figure 6) demonstrated an immediate effect when the intervention was introduced and an average positive trend, with posttest scores level with the final intervention point.

When comparing overlap of data points (NAP) between the baseline phase and the intervention phase for Adriana, 100% of points were found to be nonoverlapping (Parker & Vannest, 2009). When the posttest and maintenance points were added to this analysis for Adriana, 100% of data points were nonoverlapping. For Charlie, 98% of data points were nonoverlapping when comparing baseline to intervention and 98.5% of points were nonoverlapping when comparing baseline to all following points. The NAP averages for Group 1 were 100% for both analyses. This indicated that the treatment demonstrated strong positive effects for both Adriana and Charlie with no average overlap between any of the baseline points and any of the points from the intervention, posttest, or maintenance.

## Group Two Results

All of the students in this group showed some degree of variability during baseline, although levels were low for all students. The trend for Shondra was flat, for Maria was increasing slightly, and it was decreasing for Antoine (Figure 4). The overall baseline trend for this group was relatively flat, with a slight upward trend (Figure 6). When the intervention was introduced, Antoine showed a large immediate improvement, while the effect was not as pronounced for Shondra and Maria. Overall, the average for the group showed a steady trend for the intervention phase. Posttest scores were all close to scores for the final intervention point without much degree of difference. Maintenance scores for Shondra remained high, while they dropped somewhat for Maria and Antoine. The overall average for this group showed a post-test score level with the final intervention point and a slight dropoff for maintenance.

When comparing overlap of data points (NAP) between the baseline phase and the intervention phase for Shondra, 92% of points were found to be nonoverlapping (Parker & Vannest, 2009). When the posttest and maintenance points were added to this analysis for Shondra, 93% of data points were also nonoverlapping. Although Shondra did not demonstrate immediacy of effect during visual analysis, the high NAP scores do indicate improvement across the intervention. For Maria, 99% of data points were nonoverlapping when comparing baseline to intervention and 97% of points were nonoverlapping when comparing baseline to all following points. According to visual analysis, Maria had an increasing baseline and did not demonstrate immediacy of effect, but the strong scores for NAP indicate improvements. For Antoine, 100% of data points were nonoverlapping when comparing baseline to intervention and 99% of points were nonoverlapping when comparing baseline to all following points. NAP averages for Group 2 were 100% for both analyses. This indicated that the treatment demonstrated strong positive effects for all students in this group, with no average overlap between baseline points and any points after the introduction of the intervention.

#### **Group Three Results**

RaQuan and Ricky demonstrated a steady trend in their baseline, although this was decreasing slightly for Ricky, while Ann displayed a slight increasing trend (Figure 5). Although Ann showed an increasing trend, the decision to begin the intervention was based on group average scores, which showed a decreasing trend the last four points before intervention (Figure 6). When the intervention was introduced, both RaQuan and Ricky demonstrated strong immediate growth, although Ann's growth was more marginal. However, the average for this group (Figure 6) demonstrated an immediate effect when the intervention was introduced and a consistently high level during the intervention. Although all students in this group decreased slightly at posttest and maintenance, these scores were still above baseline levels.

When comparing overlap of data points (NAP) between the baseline phase and the intervention phase for RaQuan, 97% of points were found to be nonoverlapping (Parker & Vannest, 2009). When the posttest and maintenance points were added to this analysis for Antoine, 97% of data points were also nonoverlapping. For Ann, 100% of data points were nonoverlapping when comparing baseline to intervention and 100% of points were nonoverlapping when comparing baseline to all following points. For Ricky, 100% of data points were nonoverlapping when comparing baseline to intervention and 99% of points were nonoverlapping when comparing baseline to all following points. NAP averages for Group 3 were100% for both analyses. This indicated that the treatment demonstrated strong positive effects for all students in this group because there was no overlap between any of the average baseline points and any points after the intervention was introduced.

## **Overall Trends**

At baseline, the majority of students scored a mean score below 50%, with only one student at 60%. Baseline scores were low overall and the average graphs for each group (Figure 6) show steady or decreasing trends. Visual analysis also demonstrated that average scores for each group increased substantially when the intervention was implemented and continued to increase or remain high for the duration of the intervention. Since the intervention was implemented at different periods for each group, this increase demonstrates the effect of the intervention with each of the three groups.

## DISCUSSION

Students with learning disabilities often struggle with their ability to comprehend text, specifically in their abilities to monitor their own comprehension and in their knowledge of text structure (Gersten et al., 2001). In addition, students with LD have trouble identifying story elements in text (Griffith, 1986; Montague, Maddux, & Dereshiwsky, 1990). Griffith (1986) and Montague and colleagues (1990) both found that students with learning disabilities who retold stories based on story grammar did not include as much information in their retells as students without disabilities. Research demonstrates that students with LD can benefit from specific instruction in comprehension strategies (Edmonds et al., 2009; Gersten et al., 2001; Scammacca et al., 2013; Swanson, 1999), and that one effective strategy is story grammar (Stetter & Hughes, 2010). In addition to students with learning disabilities, the current study also included students with other disabilities and struggling readers. All students were able to improve their reading comprehension scores, as measured by story grammar probes, indicating the effectiveness of this strategy for all struggling readers.

Results of this study confirm prior research that explicit instruction in a story grammar intervention can improve reading comprehension skills for students at the elementary school level (Boulineau et al., 2004; Idol, 1987; Idol & Croll, 1987; Stagliano & Boon, 2009). While some of the previous studies had long intervention periods (Stagliano & Boon, 2009), some of the students in previous studies received as little as six (Boulineau et al., 2004) or eight days of intervention (Idol & Croll, 1987). In addition, some of these studies provided only two days of teacher modeling (Idol & Croll, 1987). The current study not only extended the intervention period, but also increased the amount of modeling provided to students. Results indicate strong growth during the intervention phase, which may be due to the additional modeling and practice provided to students.

Previous research using narrative text has also indicated that although students did improve their comprehension skills during the intervention, these results were inconsistently maintained, resulting in mixed evidence of success (Boulineau et al., 2004; Idol, 1987; Idol & Croll, 1987). In all of these studies, students were given a measure immediately following the intervention (within days of the last session) and while some students were able to maintain their comprehension skills, many declined significantly. Maintenance measures in the cited studies (Boulineau et al., 2004; Idol, 1987; Idol & Croll, 1987) were equivalent to the posttest in the current study because they were all given immediately following the completion of the intervention phase. In contrast to the other studies, six of the eight students in the current study received posttest scores comparable to their final intervention point (within ten points). Only two students demonstrated more significant drops at posttest. However, seven of the eight students had posttest scores that did not overlap with any baseline points. Ricky was the exception and had a posttest score that overlapped with two of nine baseline points. Overall, strong posttest scores indicate the results of this intervention continued past the conclusion of instruction.

Another way that the current study improved on previous research is that it included a maintenance measure conducted two weeks after the intervention ended. Although the majority of students did decrease somewhat at maintenance, they all remained above baseline levels, and most were able to maintain their scores within ten points of posttest scores. Six of eight students had maintenance scores that did not overlap with any baseline points, while the other two students had scores that overlapped with only one baseline point. This is another strong piece of evidence in support of this intervention. Finally, group two also received maintenance at four weeks and two of the three students in this group were able to maintain their scores at high levels. The maintenance results indicate that the results of a story grammar intervention may continue even after the instruction ceases.

# **Implications for Practice**

Since students with learning disabilities often lack knowledge of text structures (Gersten et al., 2001), it follows that explicit instruction in these text structures can be one way to help students improve their comprehension. Teachers can use story grammar and story maps in classroom instruction in order to provide students with a framework for approaching novel text (Idol, 1987; Idol & Croll, 1987). Use of story maps or other graphic organizers can help students with learning disabilities make sense of the text and allow them to record the most important information as they read (Edmonds et al., 2009). Explicit strategy instruction seems to be an effective way to improve reading comprehension for students with LD (Swanson, 1999).

# Future Directions for Research

Results indicate that story grammar continues to be a way to improve reading comprehension for students with learning disabilities. Further research could expand on this work by continuing to provide students with longer intervention periods in order to allow students more time to internalize the story grammar framework. The current study provided ten intervention sessions, which was on improvement on previous work, but even longer intervention periods would likely benefit students with learning disabilities. The more instruction and practice that students receive, the more likely they will be to improve their independent use of this strategy. It is possible that longer intervention sessions will allow students to more successfully maintain their skills over time. In addition, research could examine student attitudes towards this intervention in order to assess social validity. Finally, future research should examine if students can transfer these skills to other texts and generalize their gains in reading comprehension to other contexts.

## Limitations

There were several limitations to this study, the major one being that only eight students were included, which limits the generalizability of the results. These students were at the same school, which also limits generalizability. Students were in different grades and receiving reading instruction in several different groups, so this intervention was the only consistent instruction received by all of the students. The multiple-baseline across groups design provides evidence that the intervention was causing the changes in the reading comprehension scores. This evidence is tempered somewhat by rising baselines for several students. However, NAP demonstrates that intervention, posttest, and maintenance scores for these students were above baseline scores. The combination of these two sources of data lends support to the success of this intervention, however, additional classroom or standardized posttest measures could have also been included to further examine gains in reading comprehension.

This was a study to test the effectiveness of a story grammar intervention. All of the intervention sessions in this study were provided by the researcher. Although this was reasonable for this study, in the future, it would be beneficial to train teachers

in the story grammar intervention. That way, students could get this intervention as part of their regular reading instruction. The instruction could also be more consistent, instead of only being provided two days per week. If teachers were trained to implement this intervention, all students could receive it as part of their daily reading instruction.

Time also became a factor in this study, which was conducted during the winter and spring of a school year. There were many snow days that interfered with the implementation of this study, as well as school events (e.g., assemblies, field trips) that also interfered. This spread the study out over a longer time period than expected, which meant that the end of the study ran into end-of-the-year benchmark testing. Therefore, only one group was able to receive a second maintenance measure.

## CONCLUSION

In summary, a story grammar strategy appears to improve reading comprehension for students with disabilities and those who are struggling readers. All students received ten intervention sessions and were able to make and maintain gains in their ability to answer comprehension questions about a story. Intervention scores all demonstrated strong improvements from baseline with very little overlap between baseline and intervention points. Posttest and maintenance scores also demonstrated no overlap with baseline points. However, more research should be conducted to determine if gains continue to be maintained at later dates, as well as if general and special education teachers can successfully implement this intervention in the classroom.

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# The Read-Aloud Accommodation during Instruction: Exploring Effects on Student Self-Perceptions and Academic Growth

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The read-aloud accommodation (RA) is frequently provided to students with high-incidence disabilities to facilitate their access to learning opportunities during instruction and to allow them to demonstrate knowledge and skills during testing. Empirical support for this accommodation has been somewhat mixed, and has primarily focused on accommodations during testing rather than during instruction. Recent research does indicate positive effects of test accommodations on students' reports of self-efficacy. In the current study, an examination of the effects of the RA during instruction on growth in academic achievement among students with high-incidence disabilities was conducted, along with an examination of effects on locus of control (LOC) and self-concept, which were hypothesized to have mediating effects on the relationship between accommodation provision and academic growth. Results suggested a relationship between receiving an accommodation and LOC, but no subsequent effects on academic growth, apart from a marginally significant relationship between LOC and growth in passage comprehension.

Keywords: Reading Skills, Reading Problems, Read-Aloud Accommodation, High-Incidence Disabilities, Learning Disabilities, Testing, Self-Perceptions, Academic Growth, Locus of Control

#### INTRODUCTION

Reading skills substantially influence a student's ability to learn across subject areas, particularly in the later years of schooling when textbooks have high readability levels (Jitendra et al., 2001). Unfortunately, estimates within the United States suggest that nearly 90% of fourth-grade students with disabilities (SWDs) do not have proficient reading skills (National Center on Educational Statistics, 2013). In order to address the associated barriers to learning across subject areas, many SWDs are provided a read-aloud accommodation (RA) (i.e., written material is read aloud by an assistant or technological device). A substantial body of research now exists on the effects of RAs during testing (Rogers, Christian, & Thurlow, 2012). However, limited information exists on the extent to which students receive and benefit from these accommodations during instruction, which may ultimately influence whether they derive benefits from them during testing. Although the existing research on test accommodations provides some appropriate context for understanding accommodation effects more broadly, more information on the use and effects of these accom-

\*Please send correspondence to: Sara E. Witmer, Ph.D., Michigan State University, College of Education, 620 Farm Lane, Rm. 434, East Lansing, MI 48824 USA, Email: sbolt@msu.edu. modations during instruction is needed to understand the extent to which they are truly helpful to students' academic development.

## Effects of the RA on Test Scores

Thus far, the effects of RAs have been primarily studied when provided during tests. Test accommodation studies often aim to determine the extent to which the accommodation results in greater performance improvements for SWD compared to improvements evident for students without disabilities. These studies have had mixed results. In the area of reading tests, one study found a positive effect of the accommodation on a reading test for third grade SWD, and no positive effect for the respective students without disabilities (Fletcher et al., 2006). However, another study of the effects of the RA on reading tests suggests that both groups benefit from the accommodation, with no differential boost evident (Fletcher et al., 2009). An additional study indicated no significant benefit of the accommodation for either group (McKevitt & Elliott, 2003), and others have suggested that both groups benefit, with SWD benefiting more than those without disabilities (Crawford & Tindal, 2004; Laitusis, 2010). Another study indicated that although both groups benefited, the extent of differential boost differed by grade level (Randall & Engelhard, 2010). In terms of RA effects on math tests, results have similarly been mixed, although slightly greater support for RA has been identified on these tests. One study did find a positive effect of the RA for SWD and a lack of effect for students without disabilities (Tindal, Heath, Hollenbeck, Almond, & Harniss, 1998), and others have identified a benefit among both groups, with SWD benefiting significantly more on the entire math test (Elbaum, 2007) or specifically on multiple choice items on the math test (Schulte, Elliott, & Kratochwill, 2001).

There are a variety of potential explanations for the different findings across studies, including the disability and age characteristics of the participants, the method by which the accommodation was provided, and the type of test. It is likely that accommodation effects will be more pronounced among those with specific reading disabilities, and particularly for those students with reading decoding challenges. Using certain methods, the RA may allow easy repetition of item content and reading aloud of material at a rate ideally suited to the user. These methods of providing the RA may facilitate better access for the student and correspond to greater score increases in those research studies that have used the given methods. Finally, the tests under investigation in the given research studies may ultimately measure different reading constructs (e.g., comprehension vs. reading decoding vs. math problem-solving), which may influence the extent to which the RA alters test scores.

The growing body of research on effects of the RA during testing has corresponded to the development of more specific accommodation policies that highlight the conditions under which such an accommodation should be provided, such as only on test sections designed to measure non-reading skills (Christensen, Braam, Scullin, & Thurlow, 2011). At the same time, policy guidelines continue to provide evidence of controversy. In the United States, accommodation guidelines recently put forth by the two major common core assessment consortia (Smarter Balanced and P.A.R.C.C.) represent two different approaches to addressing concerns with the RA on the literacy portions of these assessment programs for SWD (see Heiten, 2014); however, these different policies both convey a general concern that highly liberal provision of the RA may undermine efforts to ensure that schools are held accountable for teaching students foundational skills in reading decoding.

#### **Provision of RAs During Instruction**

Inclusive accountability experts have argued that an accommodation that is deemed appropriate for an individual student on a particular test should also be provided during instruction (Bolt & Thurlow, 2007; Ysseldyke et al.,1999). Instructional accommodation is argued to be necessary in order to (a) ensure that students have the opportunity to learn the material on which they are being tested, and (b) ensure that students know how to make effective use of an accommodation during testing (Bolt & Thurlow, 2007; Ysseldyke et al., 1999). Although studies have indicated that the RA is frequently provided during testing (Bielinski, Ysseldyke, Bolt, Friedebach, & Friedebach, 2001), there is less information on the extent to which it is provided during instruction, and on the effects of accommodations provided during instruction.

Only two studies were identified that investigated RAs provided during instruction, and both were of students at advanced grade levels. One examined the effects of a computer-based RA provided during instruction on middle school students diagnosed with dyslexia (Elkind, Cohen, & Murray, 1993). Results suggested that the accommodation improved comprehension scores for the majority of students who received it, although some students did not improve or actually had lower comprehension scores when accommodated. The second study indicated that the RA produced some academic benefits to adults with disabilities (Elkind, Black, & Murray, 1996). The participants who received the read-aloud instructional accommodation reported that the accommodation allowed them to pay better attention to the text, increased their focus, made reading easier, decreased stress, and improved reading rate and comprehension. However, more objective measures of these variables suggested that only reading rate improved.

Technology is making it increasingly possible for accommodations, such as the RA, to be more easily provided to students during instruction. There are several unique benefits of providing an RA using computer technology, including access to a wider range of content, the ability to select desired rate and volume, and the potential to facilitate reading skills while providing the accommodation, given that text can be highlighted on the computer screen as it is being read aloud. However, it is important to note that although such technology is becoming increasingly available, ongoing concerns exist with the extent to which teachers effectively incorporate such technologies in practice (Shapley, Sheehan, Maloney, & Caranikas-Walker, 2010), and whether students therefore have the opportunity to learn how to use and benefit from them. Currently, with the beginning implementation of the Smarter Balance and P.A.R.C.C. tests in the United States, options for having a proctor read aloud a paper-based test remain in place given that many schools do not have the technologies fully integrated into their instructional programming. Therefore, it is still important to gain a better understanding of the read-aloud accommodation as provided by an assistant.

#### Effects of Accommodations on Social Psychological Factors

Although a substantial body of literature has accumulated on the impact of the RA on academic achievement tests, there is a small but growing foundation of literature of effects on other variables, such as self-efficacy and self-concept (Elbaum, 2002; Feldman, Kim, & Elliott, 2011; Lang, Elliott, Bolt, & Kratochwill, 2008). It may be the case that accommodations, when provided over long periods of time during instruction, have an impact on these social psychological variables, which in turn may help foster higher achievement. Such effects could not be investigated using a simple point-in-time examination of differential boost on a test. When examining research on the RA specifically, its relationship with students' reports of self-efficacy, self-concept, locus of control (LOC), and similar psychological variables is very limited.

Over the past few decades, research has consistently found that students with learning disabilities demonstrate lower academic self-concept (ASC) than average- and low-achieving students without disabilities (Chapman, 1988; Zeleke, 2004). A more recent study suggests that over time, students with learning disabilities accumulate frustration from school failure, which may in turn further decrease their ASC (Wei & Marder, 2012). Based on the notion that accommodations help SWD access learning opportunities in regular education classrooms, it follows that students with learning disabilities in regular education classrooms who receive more support may develop a more positive ASC than students who receive limited support (Elbaum, 2002). Such an effect, in turn, may further enhance the academic growth of these students. Feldman and colleagues (2011) found that testing accommodations had a differential boost on self-efficacy and motivation for students with learning disabilities - positively affecting test performance; however, they did not study the effects such boosts might have on learning.

A construct related to self-efficacy and self-concept – locus of control (LOC) – has been largely neglected in the literature for the past few decades. LOC refers to the extent to which one thinks he or she is in control of events that influence them; an internal LOC suggests that the individual senses greater control, whereas an external LOC suggests that the individual senses limited control (Rotter, 1954). LOC has been found to be a significant predictor of the extent to which children with learning disabilities were successful in their academic programs (Rogers & Saklofski, 1985). Swanson (1981) found that those students with learning disabilities who reported a more internalized LOC experienced higher academic achievement. In a study that examined both ASC and LOC among SWDs, Hagborg (1996) found that students with higher ASC showed a more internalized LOC than those with lower ASC; moreover, students with a more internalized LOC and therefore higher ASC demonstrated a more favorable outlook - regardless of differences in SES, intelligence, and academic skill.

## The Current Study

Altogether, limited research has examined longitudinal gains of SWD resulting from the provision of instructional accommodations. Recent research suggests that although accommodations may not always result in a substantial performance boost for student during testing situations, they may have an important effect on students' feelings of self-efficacy. It arguably follows that students who regularly receive support through accommodations during instruction, rather than solely during testing, may develop a more internalized LOC - which in turn may accelerate their academic growth. However, it could be the case that those receiving the RA experience a more externalized LOC, given that their access to written material is based on the presence of additional supports (e.g., an external reader or computer program). Given these notions, it is important for research to more carefully explore the long-term effects of instructional accommodations on LOC, self-concept, and student learning. The corresponding research questions of the current study are:

- 1. To what extent does provision of an RA during instruction (as provided through a human assistant) correspond to greater growth in math and reading achievement among students with high-incidence disabilities?
- 2. To what extent does provision of an RA during instruction (as provided through a human assistant) correspond to differences in LOC and ASC among students with high-incidence disabilities?
- 3. Do LOC and ASC mediate the relationship between the provision of an RA during instruction (as provided through a human assistant) and growth in achievement among students with high-incidence disabilities?

## Method

#### Participants

Data were selected for analysis from the Special Education Elementary Longitudinal Study (SEELS). SEELS was funded by the Office of Special Education Programs of the United States Department of Education in order to explore programming and outcomes for SWD at a national level. Several published studies indicate the purpose, sampling design, and measurement methods of SEELS (Wagner, Kutash, Duchnowski, & Epstein, 2005). We selected information on students from the SEELS sample who met the following criteria: (a) fourth grade or a higher grade level during the 2000-01 school year, corresponding to Wave 1, (b) identified as having a primary disability of one of the following during Wave 1: learning disability, cognitive impairment, emotional disability, or other health impaired, (c) reported to have a reading goal of improving reading skills or grade-level proficiency in reading (as opposed to a goal focused on development of pre-reading or functional literacy skills), (d) participated in the SEELS direct assessment across all three waves, and (e) had a teacher who participated in the teacher interview and a representative from their school who completed the school program interview during Wave 1. Analysis was limited to those in fourth grade and beyond given that this is the point at which students are likely expected to know how to read in order to complete many class activities. We also focused on students with mild disabilities who were at beginning reading stages (as opposed to those with more severe reading difficulties), given that they are more likely to receive instruction in general education environments in which accommodations may be particularly important for their success. 378 students met these criteria.

## Measures

**Items from the Teacher and School Program Surveys**. As part of the SEELS project, the teacher who provided the majority of language arts instruction to the student included in the sample was asked to complete a survey (i.e., Teacher Survey)

during each wave of data collection. For the purposes of the current study, only a few items from this survey were selected for analysis. First, information about the student's goal in reading was obtained. In addition, teachers responded to several items about the supports that they provided to the given student, including whether the student received a "reader/interpreter" during instruction. A second similar survey was administered to a school representative who was deemed knowledgeable about the target student's overall program. The corresponding response to the "reader/interpreter" item from this survey was also used. If either the teacher or school representative indicated that the student received the "reader/interpreter" accommodation during instruction at Wave 1, the student was considered part of the accommodated group.

**Scores from the Direct Assessment**. A subset of students within the SEELS dataset participated in a direct assessment during all three waves of data collection (i.e., 2000-01, 2001-02, 2003-2004). The following variables from the direct assessment were included in the analysis:

**Background characteristics.** Information on student gender, ethnicity, grade, income level, and urbanicity was obtained from Wave 1. Also, the scores (in words correct per minute) from two oral reading fluency passages were averaged as the "oral reading fluency" score.

*LOC.* A composite of five items from the School Attitude Measure (Wick, 1990) administered during Wave 1 was used as an indicator of LOC. Each item required students to rate on a 4-point scale their level of agreement with several statements (e.g., "When I get bad grades it is because of bad luck," "I don't seem to have any control over the grades teachers give me"). For the composite score, item responses are coded and summed such that higher scores reflect a more internalized LOC.

Academic self-concept. A composite of 10 items from the Student Self-Concept Scale (Gresham, Elliott, & Evans-Fernandez, 1993) administered during Wave 1 was used as an indicator of ASC. Each item required students to rate on a 3-point scale their level of agreement with statements about their academic experiences (e.g., "I can do my homework on time," "I can finish my schoolwork easily"). Higher scores reflect a more positive ASC.

*Calculation, Applied Problems, and Passage Comprehension.* Scores from administrations of two math subtests (Calculation and Applied Problems) and one reading subtest (Passage Comprehension) included in the research edition of the Woodcock-Johnson Tests of Achievement-3 (WJ-Ach-3) from all three waves of data collection were included. It is important to note that the applied problems subtest items is read aloud to students; the passage comprehension subtest is not read aloud to students (apart from the subtest directions). Cronbach alpha reliabilities for these subtests are in the .80s across age and grade levels.

# Data Analysis

An application of structural equation modeling, namely latent growth curve analysis, was used to examine the extent to which provision of the accommodation, LOC, and ASC during Wave 1 were associated with growth in math and reading achievement according to the model presented in Figure 1. The slope and intercept associated with growth models that were estimated separately for each subtest served as endogenous latent variables, LOC and ASC served as observed endogenous variables, and the remaining demographic variables and accommodation status served as observed exogenous variables. Separate models were fit for LOC and ASC, and each analysis was run separately for each subtest. Covariates included in the model included student gender, grade, income level, and the oral reading fluency score. MPlus version 7.1 (Muthen & Muthen, 2013) was used for the analyses. Maximum likelihood estimation was used for model estimation, and the typical indexes were used to determine model fit, including the Chi-Square Test of Model Fit, Tucker-Lewis Index (TLI), comparative fit index (CLI), Root Mean Square Error of Approximation (RM-SEA), and Standardized Root Mean Square Residual (SRMR). A Chi-Square Test of Model Fit should have a low, non-significant value, the TLI and CLI should be close to .95, and the RMSEA <.06 and SRMR <.08 for the model to be considered a good fit to the data (Hu and Bentler, 1999).

*Figure 1.* Path Diagram for Approach to Model Development (bolded = parameters of interest)



## RESULTS

Participating student demographic information according to accommodation group is provided in Table 1. Seventy students (19% of sample) were reported to have received the reader/interpreter accommodation. Males and students from nonurban environments were slightly more likely to receive an accommodation than females and those from urban environments. Descriptive information on student performance across waves by accommodation group is provided in Table 2. As expected, students receiving an accommodation tended to have lower oral reading fluency scores. They also tended to have slightly lower calculation, applied problems, and passage comprehension scores. Correlations between measured psychological variables and academic achievement are provided in Table 3. As expected, the academic subtests were all positively correlated, with those corresponding to similar basic skill areas correlating more strongly. Interestingly, ASC and LOC were negatively correlated, and LOC was negatively correlated with all academic subtests for all waves.
	Accomm	nodation	N Accomm	o nodation	Total S	Total Sample		
	Demographic Information							
	<u>N</u>	<u>%</u>	N	<u>%</u>	N	<u>%</u>		
Female	21	30	107	35	128	34		
Ethnic Minority	15	21	65	21	73	21		
Income Level >\$50K	19	28	93	31	112	31		
Urban	13	19	83	27	88	25		
Total N	70	19	308	82	378	100		

### Table 1. Demographic Information

Table 2. Descriptive Statistics for Total Sample and for Each Accommodation Group

	Acco	mm.	No Acc	comm.	Total S	ample
	Mean	<u>SD</u>	Mean	<u>SD</u>	Mean	<u>SD</u>
ORF	64	39	88	43	88	45
Locus of Control	10.2	0.8	10.0	0.6	10.0	0.6
Academic Self-Concept	12.8	2.0	13.0	1.8	12.9	1.9
W1 Calculation (w score)	494	21	502	19	500	19
W1 Calculation (SS)	82.7	16.5	88.6	15.3	87.4	15.7
W1 Applied Problems (w score)	487	25	493	27	492	27
W1 Applied Problems (SS)	83.7	13.8	87.2	16.0	86.6	15.7
W1 Passage Comprehension (w score)	481	21	489	19	488	19
W1 Passage Comprehension (SS)	77.3	17.3	83.6	15.5	82.4	16.0
W2 Calculation (w score)	500	20.8	508	19.4	506	19.9
W2 Calculation (SS)	82.7	17.7	89.2	16.7	88.0	17.0
W2 Applied Problems (w score)	494	26.6	499	27.0	498	27.0
W2 Applied Problems (SS)	84.0	15.9	86.7	16.2	86.2	16.1
W2 Passage Comprehension (w score)	485	17.5	492	18.3	491	18.4
W2 Passage Comprehension (SS)	77.1	15.2	82.8	16.3	81.8	16.2
W3 Calculation (w score)	508	22.5	514	20.7	513	21.2
W3 Calculation (SS)	82.7	20.2	87.5	18.6	86.6	19.0
W3 Applied Problems (w score)	500	26.8	507	26.9	505	27.0
W3 Applied Problems (SS)	80.9	16.6	85.0	16.8	84.2	16.8
W3 Passage Comprehension (w score)	491	18.8	498	15.6	497	16.4
W3 Passage Comprehension (SS)	76.5	17.3	82.9	14.5	81.7	15.3

Table 3. Correlations among Observed	Variables.										
Measure	-	7	3	4	5	9	7	8	6	10	11
1. Oral Reading Fluency (ORF)	ł										
2. Locus of Control (LOC)	10	ł									
3. Academic Self-Concept (ASC)	.05	24**	ł								
4. W1 Calculation (C)	60 <sup>.</sup>	25**	.04	ł							
5. W1 Applied Problems (AP)	.10	20**	07	.63**	ł						
6. W1 Passage Comprehension (PC)	.14**	26**	.02	.44**	.50**	1					
7. W2 Calculation (C)	.07	20**	00 <sup>.</sup>	.65**	.57**	.38**	ł				
8. W2 Applied Problems (AP)	.11*	20**	01	.53**	.74**	.42**	.65**	ł			
9. W2 Passage Comprehension (PC)	.20**	14*	02	.37**	.46**	.65**	.45**	.52**	ł		
10. W3 Calculation (C)	08	16**	03	.59**	.59**	.39**	.71**	.64**	.46**	ł	
11. W3 Applied Problems (AP)	.04	22**	01	.52**	.73**	.43**	.63**	.77**	.46**	.68**	ł
12. W3 Passage Comprehension (PC)	.15**	13*	.03	.43**	.52**	.58**	.50**	.54**	.61**	.54**	.55**
<i>Note.</i> $* = p < .05$ . $** = p < .01$ . All correlat	tions with	academic a	achievem	ent variabl	es include	standard	scores in t	ne calcula	tions.		

#### Model Fit

Prior to examining each full model, the respective basic latent growth curve measurement models were examined by subtest to determine whether an intercept only, linear slope, or quadratic slope model fit each set of subtest data best. In all cases, the linear slope models provided the best model fit, with all indexes for the linear slope models meeting the expected thresholds. Table 4 provides information on model fit for the full models (i.e., those models including all exogenous and endogenous variables). Using thresholds suggested in prior research (e.g., Hu and Bentler, 1999), indexes indicated appropriate model fit across all models.

Model	$x^2$	df	р	CFI	TLI	RMSEA	SRMR			
Calculation										
Locus of Control	7.7	7	.36	.99	.99	.02	.04			
Academic Self-Concept	6.9	7	.44	1.0	1.0	.00	.05			
Applied Problems										
Locus of Control	3.4	7	.84	1.0	1.0	.00	.01			
Academic Self-Concept	4.1	7	.77	1.0	1.0	.00	.02			
Passage Comprehension										
Locus of Control	5.3	7	.62	1.0	1.0	.00	.05			
Academic Self-Concept	6.2	7	.52	1.0	1.0	.00	.05			

#### Table 4 . Indices of Fit for Hypothesized Models

# **Model Parameters**

Figure 2 provides information on the magnitude of the marginally significant and significant parameters corresponding to the relationships of interest. Within the models including ASC, none of the hypothesized relationships were found to be significant. However, within the models including LOC, several of the expected relationships were identified. Across all subtests, receiving the RA during instruction was associated with a more internalized LOC (Calculation: standardized beta = .116, p < .05; Applied Problems: standardized beta = .121, p < .05; Passage Comprehension: standardized beta = .129, p < .05). However, for none of the subtests was either LOC or provision of the accommodation significantly related to the latent slope factor. For the passage comprehension subtest, a marginally significant relationship was found between LOC and the slope factor (standardized beta = .261, p = .09), suggesting that a more internalized LOC was associated with greater growth in passage comprehension. However, because this was not a significant relationship, further analysis of potential mediating effects of LOC on the relationship between provision of the accommodation and growth was not examined. Overall, the amount of variance in LOC accounted for within the models (including covariates and accommodation provision variable) was quite small (r-squared = .06 across all subtest models, p < .05). The amount of variance in slope accounted for within the models (including all predictor variables and covariates) was not significant for any of the subtests.





#### DISCUSSION

In the current study, we examined the effects of providing the RA during instruction on students' longitudinal growth in math and reading achievement, anticipating that the RA would be associated with achievement growth, and that part of that effect might be due to increases in internalized LOC and ASC associated with accommodation provision. Provision of an RA was indeed found to be associated with a more internalized LOC, which aligns with prior research suggesting that testing accommodations do appear to influence various psychological variables such as self-efficacy. However, the accommodation was not found to be associated with growth for any of the subtests under investigation. Although for one of the subtests (i.e., passage comprehension) a more internalized LOC was marginally significantly related to growth, overall, the results do not indicate a substantial impact of the accommodation on academic achievement growth over time.

Providing supports merely to improve a student's feelings about him or herself when those supports do not translate into clear academic benefits is likely to be considered a questionable practice. In contrast to the limited information available to suggest that RAs facilitate growth in achievement over time, a wealth of information is available suggesting that certain reading instructional and intervention strategies provided in elementary and middle school can boost students' overall reading competence, many of which are not fully implemented in schools at the current time (Duke & Block, 2013). Many students who are in need of an RA, particularly in elementary and middle school, will likely benefit from continued targeted reading interventions. Although ensuring access to instruction through effective accommodation is certainly a desirable feature of a student's educational program, the limited information to suggest that the accommodation directly corresponds to achievement growth may point to a more urgent need to promote their academic achievement in more direct ways through targeted intervention.

Although not central to the aims of the present study, which was focused on academic growth as opposed overall academic performance, a particularly unexpected finding was the negative relationship between LOC and overall academic performance. Based on the findings of prior work (Swanson, 1981), we anticipated that a more internalized LOC would be associated with higher achievement among SWD. However, in contrast to the Swanson (1981) study which included only boys who had been receiving segregated special education services since first grade, the current sample represented both boys and girls, and likely included students who received services in more integrated settings. Furthermore, the students in the current sample responded in ways that represented a range of levels of LOC; although all were SWD, they did not respond in ways suggesting that they had a particularly externalized LOC, as one might expect given that they all have experienced school challenges. It may be the case that changes in special education service delivery approaches over the past few decades have prevented students from developing a particularly externalized locus of control. Another unanticipated finding was that although the RA corresponded to a more internalized LOC, it did not correspond to a higher ASC. In fact, a negative correlation was identified between LOC and ASC (i.e., a more internalized LOC was associated with a lower self-concept), suggesting that those who feel in control of their academic success do not necessarily have a particularly positive view of their own academic competence. It is possible that this is again related to the varying degrees of integration within general education settings in the sample; perhaps those who are more integrated do feel like they have some control over their academic success, but feel less academically competent given that they are likely to compare themselves to others in their integrated classrooms who do not have disabilities.

# Limitations

It is important to mention several limitations in the design and analysis of the current study. First, although teachers indicated providing students with the RA, it may not have been provided particularly frequently or with appropriate integrity, which may have influenced the failure to identify effects on academic growth. Next, it is important to point out that the predictor variables (apart from the measure of oral reading fluency) were based on student and teacher self-reports, which may not represent accurate measures of the given constructs. Accurate responses to items on the LOC scale used in this study may require a certain level of meta-cognitive skills that are beyond the level of many of the students, given that many students with disabilities struggle in this area (Sideridis, Morgan, Botsas, Padeliadu, & Fuchs, 2006). Additionally, it is important to note that there was somewhat limited variation in the slope factor across participants. This may have limited our ability to detect the extent to which the predictor variables accounted for variation in growth. Finally, it is important to note that although we intentionally limited the analysis to students who had reading goals that suggested they were relatively high-functioning, students from multiple disability categories were included. Results may therefore have varied if there was a focus on one disability type; unfortunately, the sample sizes within the dataset were too small to run the respective analyses separately by disability type.

#### Implications for Research and Practice

Research on the effects of accommodations for SWDs continues to provide mixed evidence on their effectiveness. Although data from prior test accommodation studies indicate that RAs are effective for at least a portion of the population of SWDs, and for certain areas of academic achievement, there does not appear to be universal support across studies for their use among SWDs broadly. Although accommodations have demonstrated indirect benefits for students, such as improving student's self-efficacy, and in this study, were found to be associated with a more internalized LOC, questions remain about whether these effects go on to contribute to substantial student learning gains for most students.

As the methods by which RAs are provided to students advance with greater use of new technologies, it will be particularly important for research to investigate the impact that use of these supports have on both growth in reading achievement and growth in achievement across academic areas. Computerized RAs have certain potential advantages that may facilitate both access and learning of basic reading skills among students who are struggling, given that they can be programmed to allow for both visual and auditory support with written material. Furthermore, many programs include additional features that can support development of additional broad reading skills, such as hyperlinks to word definitions to support vocabulary development, and embedded comprehension supports. Research on aspects that facilitate student use of these supports, and that promote student achievement growth, will certainly be of great practical relevance to schools, teachers, and students.

There are a number of implications of this study for individuals who serve on school teams serving student with disabilities. As noted in prior research, it is important for school teams to engage in careful analysis and monitoring to determine whether a particular student needs and benefits from an accommodation (Fuchs et al., 2000). Given that limited research currently exists to support the effectiveness of RAs for promoting growth in academic achievement, schools should not rely solely on RAs to address the academic needs of struggling readers. Substantial research does exist to support the effectiveness of various reading interventions at the advanced elementary levels and beyond; therefore, it is important for schools to ensure that such interventions are incorporated in educational programs for SWD. The RA has been shown to be effective for certain students under certain circumstances. However, critical analysis among teachers regarding the conditions under which it may be helpful and appropriate is warranted, along with appropriate monitoring of its use and effectiveness for individual students, to ensure that it does indeed have the intended benefits.

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